

Agenda

Schools Forum

8 March 2021 at 2.30 pm
Virtual On Line Meeting via Microsoft Teams
(Open to the Public and Press)

This meeting is being held remotely in accordance with the Schools Forums (England) (Coronavirus) (Amendment) Regulations 2020, which came into force on 18 June, and make provisions to enable schools forum to meet remotely while they are unable to meet physically in a room during the outbreak of coronavirus (COVID-19).

Please click on the link below to view the meeting live:

[Schools Forum 8 3 2021](#)

- 1 Apologies for Absence**
- 2 Members to declare any interests in matters to be discussed at the meeting**
- 3 To confirm the minutes of the meeting held on 18 January 2021 as a correct record**
- 4 School Budget Information 2021/22 (RK)**
- 5 Early Years Funding Rates 2021/22 – Consultation responses (RK)**



- 6 **Schools in Financial Difficulties – Applications for consideration (SL)**
- 7 **SEN High Needs Block 2020/21 – P10 Monitoring Report – January 2021 (JG)**
- 8 **High Needs National Funding Formula Review – Consultation – (RK/MT)**
- 9 **Consultation on funding allocation to Westminster Special School – to be tabled (MT)**

Next Meeting: 14 June 2021

Schools Forum Distribution to Members:

Head Teachers Advisory Forum - Primary Schools (6)

Ms S Baker, Mr J Barry, Ms L Bray, Ms W Lawrence, Mr G Linford,

Head Teachers Advisory Forum – Secondary Schools (4)

Mr P Shone, Mr D Irish, Mr M Arnull, Mr J Topham

Head Teachers Advisory Forum – Special School (1)

Mr N Toplass

School Governors (4)

Mr B Patel, Ms. C. Gallant, Mr J Smallman, Ms L Howard

Trade Union (1)

Mr. D Barton

Early Years Partnership (1)

Ms E Pate

14-19 Provider (1)

Ms J Bailey

Pupil Referral Unit (1)

Mr K Morgan



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Minutes of Schools Forum

Monday 18 January 2021 at 2.30pm
Virtual online meeting via MS Teams

Present:

D. Irish (Chair)
N Toplass (Vice Chair), M Arnall, J Bailey, S Baker, L Bray, J Barry, D Barton,
L Howard, W Lawrence, G Linford, K Morgan, P Shone, J Smallman and J
Topham.

Officers: C Ward, R Kerr, A Timmins, S Lilley, M Tallents, J Gill, S Parkes and C
Robinson.

1/21 Apologies:

No apologies were received.

2/21 Declarations of Interest

The Chair, D Irish declared an interest in item 5: Pupil Number Growth
Funding.

3/21 Minutes

Agreed that the minutes of the meeting held on 14 December 2020
were a correct record subject to the following amendment:

Page 7 Minute No 56/30 paragraph 4 – The model for 2021/22
should read 2021/22.



Matters Arising from the Minutes of the Meeting Held on 14 December 2020

Minute No 54/20 – P Shone advised that Schools had not received any response from the DfE. The Local Authority had responded to the DfE Officers would continue to chase this up with the DfE.

DSG Allocation and Schools Revenue Funding 2021/22

Schools Forum received the report advising on allocations for the Dedicated Schools Grant (DSG) and the draft school budget information for 2021/22 that were announced and voted on at Schools Forum on 14 December 2020.

The Forum was brought up to date on the Department for Education (DfE) DSG block allocations for 2021/22 (section 4.1 in the report):

DSG Block	Allocation prior to adjustments	Adjustments	Allocation after adjustments
	£m	£m	£m
Schools	297.545	0	297.545
Central School Services	2.249	0	2.249
High Needs	55.738	(2.072)	53.666
Early Years	24.877	0	24.877
Total	380.409	(2.072)	378.337

A comparison of the funding and the pupils from 2020/21 as follows:

DSG Block (After Adjustments)	2020/21	2021/22
Schools Block Allocation	£274.03m	£297.545m
Pupil Numbers	54,699	55,511
Central Schools Services	£2.020m	£2.249m
Pupil Numbers	54,699	55,511
High Needs Block allocation	£49.681m	£53.666m
Pupil Numbers in Special School	721	721
Early Years Block allocation	£24.351m	£24.877m
3 & 4-year-old Universal PTE	6,103	6,083
3 & 4 year-old – Additional 15 Hours PTE	1,521	1,655
2 Year-old PTE	1,464	1,419
Early Years Pupil Premium (This funding is included with the Early Years Allocation above)	£0.230m	£0.262m
Disability Access Fund (This funding is included within the Early Years allocation above).	£0.081m	£0.088m



The DfE had agreements with agencies to purchase a single national licence for all state funded schools. The agreement covered both academies and maintained schools, the charge was deducted by the ESFA from the DSG. The charge for Sandwell for 2021/22 was £0.269m (excl. VAT).

The Early Years Block allocations were indicative and had been based on the 2020 January census data for schools, Early Years and Alternative Provision. The DfE had stated that the basis for a review of the allocations would take place Spring 2021.

The High Needs Block allocations were provincial figures which would be updated initially in March 2021. The import/export adjustment would be updated in June with the updated information from the January 2021 school census data and Individual Learning Record data. Further adjustments would be made in April to reflect those wanting an adjustment due to the change place notifications.

The School Block funding had been distributed through the main funding formula and is £296,454,110.

Description	£
Schools Block DSG	297,545,210
Less Pupil Number Growth Contingency	(1,091,100)
Schools Block DSG Available to distribute	296,454,110

The school funding model had been submitted to the DfE on 7 January 2021 to meet its deadline of 21 January 2021. Confirmation of receipt was still outstanding.

The Proposed Formula in respect of Primary and Secondary ratio had been agreed by Cabinet on 13 January 2021.

The authority was still awaiting confirmation on the basic entitlement rate (AWPU) as well as the Minimum Funding Guarantee (MFG) and MFG Ceiling. Once the information had been received it would be released to schools.

The Chair asked whether there was any indication of when the, MFG, the MFG Ceiling and AWPU rate would be released. Officers confirmed that a model has been submitted and now the DfE had to ensure that everything that was included met with the school regulations. A response had been chased and the authority was now awaiting confirmation.

G. Linford sought assurance that Early Years Funding was not going to be affected negatively because of low numbers in attendance on the census day due to the current situation. Officers advised that schools should count the



number of children on roll as indicated in government guidance. However, there was an expectation that DfE policy in this respect could change. It was confirmed that as no schools with nurseries had indicated they were restricting uptake of places to parents that every child on the school roll should be counted in the census as if they were in attendance at the school.

The Chair was concerned that this message be communicated to all schools, Officers confirmed that this would be confirmed to schools.

Agreed that Schools Forum noted the contents of the report.

6/21

Pupil Number Growth Funding

Schools Forum received a report in respect to allocation of Pupil Number Growth Funding and additional needs funding to schools experiencing significant pupil growth.

The budget for Pupil Number Growth Funding for 2020/21 was set at £2.269m while the authority had received a positive recoument adjustment of £0.551m. Total funding available was £2.820m.

Expenditure as of the 31 December 2020 was £1.787m which gave an under spend of £1.033m.

In regard to Pupil Number Growth, additional needs fund carried forward a balance of £234,501 from 2019/20, with no spend currently to date in 2020/21.

Officers detailed mid-year admissions where the schools have had a minimum net increase of 30 pupils over the previous 2019/2020 October census and where the LA would automatically calculate funding for schools who met the criteria so there was no longer a requirement for schools to submit applications, the pupil figures had been confirmed by October 2019/20 census.

Officers confirmed the Pupil Number Growth Funding for those with Additional Need as follows:

School	IDACI Funding (£)	EAL Funding (£)	Total (£)
Wood Green Junior	0	3,455	3,455
Lightwoods Primary	2,738	18,753	21,491
Sacred Heart	0	7,896	7,896



Ormiston Forge	0	17,894	17,894
Shireland Collegiate	0	37,935	37,935
RSA Academy	0	10,736	10,736
Wodensborough Academy	0	23,620	23,620
Grand Total	2,738	120,289	123,027

Schools Forum members were asked to consider that schools met the criteria for Pupil Number Growth funding and the additional needs funding and approve the total funding for each school as set out in the report.

The Chair Moved that Schools Forum vote on the above allocations, he would be abstaining from the vote due to the interest declared at the start of the meeting.

The results of the vote were as follows:

Votes For: 13

Votes Against: 0

Abstained: 1

Agreed that schools met the criteria for Pupil Number Growth funding and the additional needs funding and that the total funding for each school as set out in the report be approved.

7/21

SEN and High Needs Block – Period 9 Monitoring report

Schools Forum received a report, tabled prior to the meeting, on High Needs Block monitoring.

Apologies were given for the lateness of the report.

Officers stated that the High Needs Block grant for 2020/21 stood at £48.583 million. Table one of the report set out the budget allocation.

The anticipated year surplus as noted for 31 October 2020 projected to 31 March 2021 was £0.137 million. This figure had been adjusted to an anticipated deficit of £0.193 million.



Budget Heading	Budget 2020/21 £000	Anticipated Outturn 31/3/21 £000	Variance from Budget £000
1) Out of Borough Placements	4,929	6,137	1,208
2) Pupil Top Up and Place Funding	29,433	30,202	769
3) Post 16 Colleges	2,323	2,660	337
4) Hospital PRU	1,073	1,173	100
5) SEN Support Services	1,412	1,275	(137)
6) Support for Inclusion	4,574	3,910	(664)
7) Alternative Provision	1,911	1,287	(624)
8) SEN Developments	1,099	516	(583)
9) Other SEN Funding	1,677	1,534	(143)
10) Exclusions & Reintegration	152	82	(70)
TOTAL	48,583	48,776	193

The only change to the main variances was Post 16 Further Education Colleges and Special Providers with a £337,000 overspend. Contacts had been finalised all but three. There had been a significant increase in numbers of post 16 students.

Officers noted that other SEN funding had not changed and stood at £1.677m.

With regards to the Focus Provision there was an average under occupancy as of 31 December 2020 of 16%.

A meeting took place at the beginning of January 2021 to discuss place planning across Focus Provision and Special Schools in the future.



There were nine consultations out with schools that would reduce the vacancies from 32 to 23 once agreed.

The Commissioned places in Special Schools, purchased at the beginning of the financial year were not the actual numbers that the School could accommodate.

Occupancy at each school were as follows:

	Commissioned Places	Occupancy 31 December 2020
The Orchard	145	145
The Meadows	185	184
The Westminster	210	219
Shenstone/Brades	95	94
Total	635	635

The Forum was updated that the data for the PRUs has been run from SYNERGY since 31 December 2020.

As far as officers are aware all years 7s are recorded the under-numbers are being attributed to the issues surrounding lockdown and the ongoing difficulties.

Occupancy at each School against the commissioned places is as follows:

	Commissioned Places	Occupancy at 31 December 2020	Vacancies
Sandwell Community School	180	134	-46
Primrose	25	19	-6
Albright	50	40	-10
Total	255	193	-62

Comments and questions from members of Schools Forum and responses were as follows:

- N. Toplass, asked whether since there was a deficit for this financial year, if there was going to be a revisit to the ongoing staff vacancies which have not being appointed to help support deficit. Officers stated that vacancies had been taken into account and the majority had been appointed to. There remained one or two outstanding vacancies which would be considered in the next financial year.



- The team was still looking to appoint the Educational Psychology Team and reviewing the Secondary Exclusions Team. It was confirmed that the Secondary Exclusion Team appointments would be in consultation with Secondary schools.

Schools Forum were asked to note the contents in relation to 2020/21 High Needs Block grant budget monitoring for the period 1 April to 31 December 2020, and to note the data provided on the commissioned places and special provision occupancy as of 31 December 2020.

Agreed: The Schools Forum noted the contents of the report in respect to High Needs Block grant budget monitoring for the period 1 April to 31 December 2020 and to note the data provided on the commissioned places and special provision occupancy as of 31 December 2020.

8/21 AOB

There was no other business.

The Next Virtual Meeting of Schools Forum: 8 March 2021 @ 2.30pm

Meeting ended at 15.14

[Watch Schools Forum - 18 January 2021](#)

Contact: democratic_services@sandwell.gov.uk



Agenda Item 4

Schools Forum

8th March 2021

School Budgets Information 2021/22

This report is for information

1. Recommendations:

That Schools Forum members:

- 1.1 Note the contents of the report

2. Purpose

- 2.1 To inform Schools Forum members of the final school budget information for the financial year 2021/22.

3. Links to School Improvement Priorities

- 3.1 The report allows school governing bodies and academy boards to start their financial planning for 2021/22 and to make appropriate structural and educational adjustments to meet the needs of young people within the constraints of their resource allocations.

4. Report Details

- 4.1 The Schools Block funding which has been distributed through the main funding formula is £296,454,110. This has been calculated as follows:

Description	£
Schools Block DSG	297,545,210
Less Pupil Number Growth Contingency	(1,091,100)
Schools Block DSG Available to Distribute	296,454,110

- 4.2 The school funding model was finally approved by the DfE and received on Wednesday 3rd February 2021.
- 4.3 The Schools block element of funding was issued to schools on Monday 8th February 2021. This includes notification of the funding entitlement based on the agreed authority formula, minimum funding guarantee and adjustments for de-delegations and education functions for maintained schools.
- 4.4 The Schools Budget Information 2021/22 which includes Early Years, Focus and non-focus Provision, and illustrative Pupil Premium Grants funding was released to schools on Friday 26th February 2021. (Appendix 1).

Approved Formula

- 4.5 The table below lists the factor rates for the local authority formula to be applied to school's budgets for 2021/22.

Item	Primary	Secondary
Primary : Secondary Ratio	1	1.27
Basic Entitlement (AWPU)	£3,512	£4,977
IDACI Band E	£77	£350
IDACI Band D	£485	£676
IDACI Band C	£551	£771
IDACI Band B	£602	£855
IDACI Band A	£630	£900
Prior Attainment (Low Cost, High Incidence SEN)	£1,225	£1,776
EAL (2 years)	£846	£1,227
Lump Sum	£129,057	£129,057
Split Site	£129,057	£129,057
Rates	Actual	Actual

PFI	Actual	Actual
MFG	2.00%	2.00%
MFG Ceiling	No Ceiling	No Ceiling

5. Recommendations

That Schools Forum members

- 5.1 Note the content of the final school budgets for the financial year 2021/22.

Rosemarie Kerr, Principal Accountant – Schools

Date: 02/03/2021

Contact Officer: Rosemarie Kerr

Tel No: 0121 569 8318



Schools Budget Information 2021-2022

Schools Strategic Finance Unit

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23	Submission of Budget Plans
Table A	Total School Budget Figures 2021-22 compared to 2020-21
Table B	2021-22 Formula Factors
Table C	Comparison of 2020-21 and 2021-22 Formula Factors
Table D	Calculation of Indicative Pupil Premium Allocations 2021-22
Table E	Calculation of Early Years Single Funding Formula 2021-22
Table F	De-Delegated, Education Functions and Central School Services Block Budgets 2021-22
Table G	Pupil Growth Contingency Criteria and Template
Table H	Additional Needs Criteria

SECTION 1: SCHOOL FUNDING SETTLEMENT 2021-22

1. **Dedicated Schools Grant (DSG)**

- 1.1 The Secretary of State for Education made a statement in September 2019, which confirmed to Parliament that the funding for schools and high needs will, compared to 2019/20, rise by £2.6 billion for 2020/21, £4.8 billion, and £7.1 billion for 2022/23.
- 1.2 The school funding settlement announcement by the Education Skills and Funding Agency (ESFA) in December 2020 confirmed the 2021-22 DSG which is set out in 4 blocks: a schools block, a high needs block, an early years block and central schools services block.
- 1.3 This funding will be distributed to local authorities using the Schools and High Needs National Funding Formulae (NFF).
- 1.4 One of the key aspects under the Schools NFF for 2021/22 is that the minimum per pupil funding levels will be set at £4,180 for primary schools and £5,415 for secondary schools. The school block also now includes funding for Teachers Pay and Teachers Pension Employer Contribution.
- 1.5 The early years block consists of funding for 15 hours entitlement for 3 and 4 year olds, the additional 15 hours for 3 and 4 year-old children of eligible working parents; funding for 2 year olds; the early years pupil premium and the Disability Access Fund.
- 1.6 Dedicated Schools Grant (DSG) funding is broken down into four blocks:

Block	Description	Based on
Schools	This funds the main school funding formula for mainstream	October 2020 census

High Needs	This funds high needs pupils (those that cost above approximately £10k) in mainstream schools, Special Schools, Alternative Provision and PRUs.	The high needs national funding formula consisting of basic entitlement, historic spend, proxy factors, hospital education funding and import/export adjustment
Early Years	This will fund the 15 hours free entitlement for pupils in maintained and PVI nursery provision plus the additional 15 hours for pupils with eligible working parents. It also includes funding for 15 hours for disadvantaged two years olds	January 2020 census was used and the basis for updating will be reviewed in spring 2021
Central School Services	This funds local authorities for the statutory duties they hold for both maintained schools and academies. It includes historic commitments and funding for ongoing responsibilities.	A basic per-pupil rate plus actual historic commitment cost.

1.7 The EFA have confirmed the 2021-22 allocations for each of the blocks for Sandwell MBC as: -

DSG	£m
Schools	297.545
High Needs	55.738
Early Years	24.877
Central Schools Services	2.249
Total	380.409

The following adjustments will be made to these block allocations: -

- Schools block will be adjusted for academy conversions during the year
- Early Years Block will be adjusted for participation at each census

The Schools Block funding that has been distributed through the main funding formula is **£296,454,110**. This has been calculated as follows: -

	£
Schools Block DSG per Settlement	297,545,210
Less Pupil Number Growth Contingency	(1,091,100)
Schools Block DSG Available to Distribute	296,454,110

2. Minimum Funding Guarantee (MFG)

- 2.1 The minimum funding guarantee will continue, but local authorities had the flexibility to set a local MFG between plus 0.5% and plus 2.00% per pupil. A MFG of plus 2.00% for schools in 2021- 22 has been agreed.

3. Pupil Premium Grant (PPG)

- 3.1 From 2021/22 onwards the ESFA will base pupil premium funding on the October census for mainstream and special schools. There will be no change to the allocations process for alternative provision, pupil referral units and hospital schools. These establishments will continue to be funded based on the January census as before. The PPG allocations are for illustrative purposes only and are based on either the October 2020 or the January census data. Actual 2021/22 allocations will include pupils on the October 2020 School Census known to have been eligible for Free School Meals (FSM) in any of the previous six years, as well as those first known to be eligible at October 2020. From 1st April 2021 PPG will be allocated for: -

- **Primary** FSM Ever 6 eligible pupils at a rate of **£1,345**
- **Secondary** FSM Ever 6 eligible pupils at a rate of **£955**
- Children who have been looked after for 1 day or more at a rate of **£2,345**. This rate is also applicable to children who have ceased to be looked after by the local authority because of adoption, a special guardianship order, a child arrangement order or a residence order.

The allocation must be managed by the designated Virtual School Head in the authority who decides on the amounts to be allocated to schools and is responsible for ensuring arrangements are in place to

discuss how the child will benefit from any PPG.

- Each Service Child at a rate of **£310**

The PPG is not ring fenced at school level. However, the PPG Questions and Answers issued by the DfE state that: -

Schools must publish a strategy statement on their website if it is receipt of pupil premium. A template can be found on the government website.

4. Devolved Formula Capital

- 4.1 The rates for DFC have not yet been published by the DfE and so allocations have not been included in Table A.

5. Post 16 Funding

- 5.1 Post 16 funding allocations have not been published by the EFA and are therefore not included in Table A.

SECTION 2: SCHOOLS FORUM DECISIONS – SCHOOL BUDGET CONSULTATION

1. The following decisions have been made by Schools Forum with regard to the school funding formula and de-delegated budgets for 2021-22: -

2. New School Funding Formula

2.1 Schools Forum agreed the unit values for the following factors in the formula as shown below: -

Item	Primary	Secondary
Primary : Secondary Ratio	1	1.27
Basic Entitlement AWPU	£3,512	£4,977
IDACI Band E	£77	£350
IDACI Band D	£485	£676
IDACI Band C	£551	£771
IDACI Band B	£602	£855
IDACI Band A	£630	£900
Prior Attainment	£1,225	£1,776
EAL (2 years)	£846	£1,227
Lump Sum	£129,057	£129,057
Split Site	£129,057	£129,057
Rates	Actual	Actual
PFI	Actual	Actual
MFG	+2.00%	+2.00%
MFG Ceiling	No Ceiling	No Ceiling

3. De-delegated and Central DSG Budgets

3.1 All de-delegated budget proposals were approved with the exception of Trade Union Facilities for Secondary schools. Funding for Trade Union Facilities time in primary schools will continue to be delegated to schools. This means that secondary schools will need to make separate arrangements with Trade Unions as necessary.

3.2 Schools should be aware that the DfE have agreed to purchase a single national licence for all state funded schools for the following licences: -

- Christian Copyright Licensing International (CCLI)
- Copyright Licensing Agency (CLA);
- Education Recording Agency (ERA);
- The Motion Picture Licensing Company licence (MPLC);
- Public Video Screening Licence – Filmbank Distribution Ltd. (PVSL)
- Mechanical Copyright Protection Society (MCPS);
- Newspaper Licensing Authority (NLA);
- Performing Rights Society (PRS);
- Phonographic Performance Limited (PPL), and
- Schools Printed Music Licence (SPML).

3.3 The funding for these will be retained centrally by the Department for Education and schools will not need to purchase individual licenses for these purposes in 2021-22.

3.4 A summary of the de-delegated budgets can be found in **Table F**.

4. Pupil Number Growth Contingency

4.1 It was agreed that a contingency be held back to support schools that are facing a significant growth in pupil numbers. The criteria for allocating funding from this contingency can be found in **Table G** along with a template that schools should complete to request funding. Schools that are expanding because of a local authority request will automatically receive funding.

5. Additional Needs Funding

5.1 £1m of one-off funding was transferred from the Early Years block in 2015/16 to create a contingency budget for schools that are experiencing pupil growth of which a substantial proportion have additional deprivation or language needs.

5.2 The balance of funding remaining as at 28th February 2021 is £111,474

5.3 The criteria for the allocation of this funding is: -

- Funding will only be allocated to schools that have admitted a minimum of 5 pupils with additional needs.
- Funding will only be allocated to schools that it has been agreed meet the criteria for the existing pupil growth contingency or are classed as a local authority led expansion.
- Schools will need to highlight the need for ‘additional needs’ funding as part of their request for funding for general pupil growth. Schools that are LA led expansions should contact the Schools Strategic Finance Unit to request to be considered for additional needs funding.
- Information from the latest census will be used to verify whether any of the additional pupils have additional needs.
- If a pupil is logged as having English as an Additional Language the following per pupil allocations will apply (in line with the current funding formula): -

Primary	Secondary
£846	£1,227

- The pupils’ postcode will be matched to the relevant IDACI band and the following allocations applied if applicable (in line with the current funding formula): -

IDACI Band	Primary	Secondary
IDACI Band E	£77	£676
IDACI Band D	£485	£676
IDACI Band C	£551	£771
IDACI Band B	£602	£855
IDACI Band A	£630	£900

- No further funding allocations will be made once the £1m budget available has been used.

5.4 There is a section on the pupil growth template for schools to indicate whether additional needs funding is also being requested. Schools that have automatically received funding for local authority led expansions will be reviewed automatically by the Schools Strategic Finance Unit to assess whether they are also eligible for this funding.

5.5 The deadline for applications not meeting the criteria in section 1.1 to 1.3 of Table G is 30th October of each year. The deadline for applications for mid-year admissions is 28th February of each year, after the October and January census.

6. Rates

6.1 The funding for 2021-22 rates is as set out below: -

6.1.1 An estimate of the rates payable for 2021-22 and;

6.1.2 An adjustment to 2020-21 rates to account for any difference between previously funded rates and actual rates 2020-21. This may be a negative figure to reflect a previous over estimate or a positive figure to reflect an underestimate.

SECTION 3: EARLY YEARS FUNDING

1. Early Years National Funding Formula: Funding for 3 and 4 Year Olds Basic Hourly Rate

1.1 The basic hourly rate for each type of provider is as follows: -

Type of Provider	Basic Hourly Rate
Maintained Nursery Classes	£4.06
Private	£4.06
Voluntary	£4.06
Childminders	£4.06

Flexibility

1.2 Settings that offer the 15 hours free entitlement in a flexible way will be entitled to an additional £0.30 per hour. The following table shows the patterns of provision that are classed as “high” and “low”: -

High Flexibility	Low Flexibility
3 sessions x 5 hours	5 sessions x 3 hours
6 hrs + 3 hrs + 3 hrs + 3 hrs	5 sessions x 2 ½ hours (not offering 15 hours)
6 hrs + 6 hrs + 3 hrs	

Deprivation

1.3 The deprivation factor is based on the percentage of pupils whose postcodes fall within the 15% of Lower Super Output Areas (using the Index of Multiple Deprivation). The following table shows the additional funding per hour that each setting will receive: -

Percentage in 15% lowest SOAs	Funding per hour
10%-30%	£0.05
31%-70%	£0.10
71%-90%	£0.20
Above 90%	£0.25

2. Funding Adjustments

2.1 Funding adjustments will be calculated for each term based on the May, October and January census. The actual funding adjustment will be processed in February or March which will cover all adjustments for the financial year.

3. Funding for Two Year Olds

3.1 Funding for two year olds will be based on take up in 2021/22. Provisional allocation will be made based on participation numbers from the January 2019 schools, early years and alternative provision censuses.

3.2 The basic hourly rate for provider will be £5.38 for 2021/22.

3.3 The local authority will issue and adjust school allocations in line with the EFA announcements.

4. Early Years Pupil Premium (EYPP)

4.1 The national rate for early years pupil premium is £0.53 per hour per eligible pupil. This means for the financial year 2021/22, providers would receive £302.10 for each eligible child who takes up the full 570 hours of state funded education. The authority will be given a provisional allocation for the early years pupil premium (EYPP).

4.2 Provisional allocations for EYPP were announced in December 2020. The allocations were based on the number of children eligible for the EYPP as recorded in the January 2020 early years' census and school census.

4.3 The basis for updating the allocations will be reviewed in spring 2021.

4.4 Early Years Disability Access Fund (DAF)

4.5 Allocations for 2021/2022 are calculated based on Disability Living Allowance data from February 2020. These allocations will not change and should be considered final for 2021/22.

4.6 The national rate for DAF is £615 per eligible child per year.

SECTION 4: FUNDING FOR PUPILS WITH HIGH NEEDS

1. High Needs Pupils excluding Focus Provision

1.1 Funding for pupils with high needs will be made up of the following elements from April 2021: -

Element 1	Core education funding	Unit of per pupil funding e.g. AWPU
Element 2	Additional support funding	A clearly identified budget to provide additional support for high needs pupils or students with additional needs up to an agreed level. DfE recommendation is that school's contribution is set at £6,000 .
Element 3	Top up funding	Funding above elements 1 and 2 to meet the total cost of education provision.

1.2 Mainstream schools will be expected to contribute element 1 and 2 towards the educational cost of a high needs pupil. This equates to the following amounts: -

1.3

	Element 1 AWPU (£)	Element 2	Total Contribution (£)
Primary	£3,512	£6,000	£9,512
Secondary	£4,977	£6,000	£10,977

1.4 The local authority has to identify a notional SEN budget for each school from which this financial contribution is to be made. Schools Forum agreed that this notional SEN budget would be calculated as follows: -

1.5

Deprivation	25%
Low Attainment	100%

- 1.6 Element 3 funding (for pupils that cost above £9,512 for Primary schools and £10,977 for Secondary schools) will be allocated from the high needs block.
- 1.7 Element 3 funding has to follow the pupil. Funding adjustments will continue to be made on a termly basis as currently for this funding.

2. Focus Provision

- 2.1 Focus provision centres are treated as special units. Mainstream schools that have focus provision units will receive:
 - **£6,000** per place, where the place was occupied on the October 2020 census day plus element 3 funding based on the educational cost of each pupil in the unit.
 - **£10,000** per place, where the place was unoccupied on the October 2020 census day.

SECTION 5: ACADEMY FUNDING

1. Academy Funding

- 2.1 The published tables DO NOT show the total budget that academies will receive. Academies will receive their budgets from the EFA on an academic year basis.
- 2.2 The local authority is only responsible for paying certain funding streams to academies. These are: -
 - Early Years Funding - **Column L Table A**
 - High Needs Funding – **Column O and P Table A**
- 2.3 The Element 1 and 2 (place funding of either £6k – for occupied places or £10k for unoccupied places) for academies with Focus Provision units will be paid by the EFA and not the local authority.
- 2.4 Academies that were maintained schools at the time the collective equal pay agreement was concluded continue to be liable for their contribution to this (column Q – Table A). Invoices will be raised for these amounts as it is no longer possible for the local authority to deduct this from academy funding directly.

SECTION 6: EXPLANATION OF TABLES

1. **TABLE A**

1.1 This table shows a comparison of the total funding for each school for 2020-21 and 2021-22.

2020/21

1.2 **Dedicated Schools Grant 2020-21 (A)** – This is the DSG funding per school when the budgets were originally set for 2020-21.

1.3 **Early Years 2020-21 (B)** – This is the Early Years funding per school when the budgets were originally set for 2020-21.

1.4 **Minimum Funding Guarantee (C)** – The MFG allocated in 2020-21 was +1.84%, with no ceiling applied.

1.5 **Post 16 Funding (C2)** – This is the Post 16 funding included in the 2019/20 School Budgets. (This has been excluded as 2020/21 have yet to be released.)

1.6 **High Needs Non-Focus Provision (D)** – This was the High Needs Non-Focus Provision funding included in the original 2020-21 budgets.

1.7 **High Needs Focus Provision (E)** – This was the High Needs Focus Provision funding included in the original 2020-21 budgets.

1.8 **Equal Value Pay Claims (F)** – This was the 2020-21 contribution towards the Equal Pay Claims (year 12 of 15).

1.9 **De-delegated Funding (G)** – This was the de-delegated funding deducted from school budgets in 2020-21.

1.10 **Education Functions (H)** – This is the Education Functions funding deducted from school budgets in 2020-21.

1.11 **PFI (I)** – This is where the additional funding for the BSF and PFI affordability gaps has been deducted from the relevant schools in 2020-21.

1.12 **Pupil Numbers (J)** – These are the pupil numbers from the October 2019 census.

2021/22

- 1.13 **Dedicated Schools Grant (K)** – This is the DSG funding for 2021-22. This **includes** the notional SEN budget (column Z).
- 1.14 **Early Years (L)** – This is the Early Years funding for 2021-22 based on an average of the pupil counts from May 2019, October 2019 and January 2020. This will be adjusted at the end of 2021-22 based on the number of hours at each census during 2021-22.
- 1.15 **MFG (M)** – This is the 2021-22 MFG protection of +2.00% per pupil.
- 1.16 **Post 16 Funding (N)** - The Post 16 funding figures were not available at the time of printing for the 2021-22 School Budgets.
- 1.17 **High Needs Funding Non-Focus Provision (O)** – This is the 2021-22 element 3 funding for high needs pupils excluding Focus Provision.
- 1.18 **High Needs Funding Focus Provision (P)** – This is the 2021-22 place funding and element 3 funding for Focus Provision units. For academies, this is only element 3 (top up) funding. Place funding for academies is paid by the EFA.
- 1.19 **Equal Value Pay Claims (Q)** – This is the 2021-22 contribution to Equal Pay Claims (Year 13 of 15).
- 1.20 **De-delegated Funding (R)** – This is the funding that has been deducted from 2021-22 school budgets to cover the de-delegated budgets listed in Table F.
- 1.21 **Education Functions (S)** - This is the Education Functions funding deducted from school budgets in 2021-22 as detailed in Table F.
- 1.22 **PFI (T)** –This is where the additional funding for the BSF and PFI affordability gaps has been deducted from each school in 2021-22.
- 1.23 **Pupil Numbers (U)** – These are the pupil numbers from the October 2020 census.
- 1.24 **Pupil Premium Grant (V)** – This is the illustrative funding based on January or October 2020 Census depending on type of establishment.
- 1.25 **Total Schools Revenue Funding 2021-22 (W)** – This is the total DSG and Pupil Premium funding for 2021-22.

- 1.26 **Pupil Numbers (AA)** – This is the change in pupil numbers from 2020-21 (October 2019 Census) to 2021-22 (October 2020 Census).
- 1.27 **Total Funding excluding PPG & DFC (AB)** – This is the % change in DSG funding between 2021-22 and 2020-21. This excludes Pupil Premium and DFC.
- 1.28 **Notional SEN Budget (AC)** – This is the funding **within your total budget** which covers the element 1 and element 2 funding for high needs pupils.

2. **TABLE B**

- 2.1 This table shows the total school budget share for 2021-22 broken down by factor. For an explanation of the Rates calculation please refer to Section 2: paragraph 6.

3. **TABLE C**

- 3.1 This shows a comparison of the formula factors for 2021-22 and 2020-21

4. **TABLE D**

- 4.1 This shows the illustrative deprivation Pupil Premium funding using the 2021-22 rates based on the January and October 2020 census. This funding is in addition to your DSG funding and will be updated in 2021 when further information is received from the DfE.

5. **TABLE E**

- 5.1 This table shows the calculation of the Early Years funding for each school.

6. **TABLE F**

- 6.1 This table shows all de-delegated, Education Functions Budgets and responsible services for the Central School Services Block budgets for 2021-22.

7. **TABLE G**

- 7.1 This shows how decisions will be made regarding allocating the pupil number growth contingency funding for general pupil number increases. It also includes the template that will need to be completed in order to submit these requests. The deadline for applications for **increase in one year group** is **30th October** of each year. The deadline for applications for **mid-year admissions** to be **28th February** of each year.

TABLE H

7.2 This shows the criteria for allocation of Additional Needs funding.

SECTION 7: SUBMISSION OF BUDGET PLANS

1. Copies of your budget plans should be submitted to SSFU by the deadline date of 14th May 2021. Appendix F of the Fair Funding Scheme details what is required as part of this. Please submit budget plans electronically by email to schools_financialservices@sandwell.gov.uk.
2. Please also refer to Appendix H of the Fair Funding Scheme which requires you to apply to the local authority for a licensed deficit should you not be able to set a balanced budget for 2021-22.
3. If you have any queries please contact at schools_financialservices@sandwell.gov.uk

TABLE A: 2021-22 SCHOOL BUDGETS

Table with columns for 2020-21 and 2021-22 fiscal years, categorized by school type (SCHOOLS, PRIMARY TOTAL) and funding source. Columns include DSG (E), EARLY YEARS (E), MINIMUM FUNDING GUARANTEE (E), HIGH NEEDS FUNDING NON FOCUS PROVISION (E), HIGH NEEDS FUNDING FOCUS PROVISION (E), EQUAL VALUE PAY CLAIM (12/15) (E), DE-DELEGATED FUNDING (E), EDUCATION FUNCTIONS (E), PFI (E), TOTAL (E), PUPIL NUMBERS, and various change and memo columns at the bottom.

TABLE A: 2021-22 SCHOOL BUDGETS

SCHOOLS	2020-21												2021-22												ILLUSTRATIVE PUPIL PREMIUM 2021-22 (£)	TOTAL SCHOOLS REVENUE FUNDING 2021/22 (£)	TOTAL SCHOOLS FUNDING INC DFC 2020/21 (£)	CHANGE		MEMO		
	DSG (£)	EARLY YEARS (£)	MINIMUM FUNDING GUARANTEE (£)	MINIMUM PER PUPIL FUNDING	HIGH NEEDS FUNDING NON FOCUS PROVISION (£)	HIGH NEEDS FUNDING FOCUS PROVISION (£)	EQUAL VALUE PAY CLAIM (12/15) (£)	DE-DELEGATED FUNDING (£)	EDUCATION FUNCTIONS (£)	PFI (£)	TOTAL (£)	PUPIL NUMBERS	DSG (£)	EARLY YEARS (£)	MINIMUM FUNDING GUARANTEE (£)	MINIMUM PER PUPIL FUNDING	POST 16 FUNDING (£)	HIGH NEEDS FUNDING NON FOCUS PROVISION (£)	HIGH NEEDS FUNDING FOCUS PROVISION (£)	EQUAL VALUE PAY CLAIM (13/15)	DE-DELEGATED FUNDING (£)	EDUCATION FUNCTIONS	PFI	TOTAL (£)				PUPIL NUMBERS	Total Funding (£)		TOTAL FUNDING (%)	NOTIONAL SEN BUDGET 2021-22 (£)
Q3 TIPTON ACADEMY (ACE ACADEMY)	7,614,425	0	0	0	76,104	0	-28,863	0	0	0	7,661,666	1,327	8,393,836	0	0	0	115,285	0	-28,863	0	0	0	0	8,480,258	1,348	0	8,480,258	8,480,258	21	818,592	10.68%	879,901
BRISTNALL HALL ACADEMY	5,450,713	0	0	0	330,570	287,744	-19,147	0	0	0	6,049,880	948	5,980,256	0	0	0	421,385	234,134	-19,147	0	0	0	0	6,616,628	967	0	6,616,628	6,616,628	19	566,748	9.37%	656,182
GEORGE SALTER ACADEMY	6,091,916	0	0	0	107,716	0	0	0	0	0	6,199,631	1,073	6,891,875	0	0	0	126,085	0	0	0	0	0	0	7,017,960	1,121	0	7,017,960	7,017,960	48	818,328	0.00%	669,221
HOLLY LODGE HIGH	7,940,630	0	0	0	86,144	0	-26,156	-16,168	-19,321	0	7,965,131	1,379	8,522,074	0	0	0	109,872	0	-26,156	-16,854	-20,692	0	0	8,568,246	1,382	423,680	8,991,926	8,991,926	3	603,115	7.57%	892,297
OLDBURY ACADEMY (OCOS)	8,085,969	0	0	0	67,449	0	0	0	0	0	8,153,418	1,436	8,576,721	0	0	0	58,841	0	0	0	0	0	0	8,635,561	1,395	0	8,635,561	8,635,561	-41	482,143	5.91%	882,613
ORMISTON ACADEMY	5,671,050	0	0	0	89,985	0	-18,421	0	0	0	5,742,614	1,041	6,423,048	0	0	0	101,650	0	-18,421	0	0	0	0	6,506,276	1,091	0	6,506,276	6,506,276	50	763,662	13.30%	556,140
ORMISTON FORGE ACADEMY (HFIELD)	7,732,251	0	0	0	134,598	0	0	0	0	0	7,866,849	1,391	8,725,757	0	0	0	147,550	0	0	0	0	0	0	8,873,307	1,441	0	8,873,307	8,873,307	50	1,006,457	12.79%	857,826
PERRYFIELDS HIGH	6,188,835	0	0	0	32,101	0	-18,272	-13,131	-15,692	0	6,173,841	1,120	6,677,288	0	0	0	47,930	0	-18,272	-13,488	-16,559	0	231,435	6,676,899	1,106	231,435	6,908,334	6,908,334	-14	503,057	8.15%	544,095
PHOENIX COLLEGIATE HIGH	8,978,436	0	0	0	91,397	0	-29,668	-19,134	-22,865	0	8,998,166	1,632	10,277,136	0	0	0	170,898	0	-29,668	-20,280	-24,899	0	561,330	10,373,187	1,663	561,330	10,934,517	10,934,517	31	1,375,021	15.28%	1,096,603
Q3 ACADEMY (DARTMOUTH)	5,351,957	0	0	0	106,402	0	0	0	0	0	5,458,359	1,015	6,012,870	0	0	0	129,977	0	0	0	0	0	0	6,142,847	1,047	0	6,142,847	6,142,847	32	684,488	12.54%	429,936
Q3 LANGLEY ACADEMY	5,496,327	0	0	0	96,128	0	0	0	0	0	5,592,455	1,008	6,776,708	0	0	0	122,246	0	0	0	0	0	0	6,898,954	1,156	0	6,898,954	6,898,954	148	1,306,499	0.00%	499,497
RSA ACADEMY (WILLINGSWTH)	5,819,773	0	0	0	70,884	0	0	0	0	0	5,890,657	1,030	6,765,291	0	0	0	80,303	0	0	0	0	0	0	6,845,594	1,106	0	6,845,594	6,845,594	76	954,937	16.21%	580,232
SANDWELL ACADEMY	5,288,890	0	0	0	91,149	0	0	0	0	0	5,380,039	985	5,898,417	0	0	0	122,357	0	0	0	0	0	0	6,020,773	1,004	0	6,020,773	6,020,773	19	640,735	0.00%	414,518
SHIRELAND COLLEGIATE ACADEMY	6,337,628	0	0	0	65,103	0	0	0	0	0	6,402,731	1,097	7,232,208	0	38,597	0	60,856	0	0	0	0	0	0	7,331,662	1,175	0	7,331,662	7,331,662	78	928,931	0.00%	715,559
ST MICHAELS CE HIGH	7,325,609	0	0	0	173,247	290,924	-21,730	-14,327	-17,121	-492,128	7,244,474	1,222	7,951,052	0	0	0	164,381	280,500	-21,730	-14,878	-18,266	-504,432	387,325	8,223,952	1,220	387,325	8,223,952	-2	592,153	8.17%	729,090	
STUART BATHURST CATHOLIC HIGH SCHOOL	4,150,321	0	0	0	51,311	0	0	-8,641	-10,326	0	4,182,665	737	4,453,621	0	0	0	61,809	0	0	0	0	0	0	4,535,430	719	0	4,535,430	4,535,430	-18	352,765	8.43%	405,887
WEST BROMWICH COLLEGIATE ACADEMY	1,576,605	0	0	0	9,394	0	0	0	0	0	1,585,999	263	2,494,779	0	51,913	0	64,104	0	0	0	0	0	0	2,610,796	418	0	2,610,796	2,610,796	155	1,024,797	0.00%	152,512
WODENSBOROUGH ORMISTON ACADEMY	5,920,411	0	0	0	152,811	222,326	-23,043	0	0	0	6,272,505	1,010	6,903,470	0	0	0	175,557	276,062	-23,043	0	0	0	0	7,332,046	1,095	0	7,332,046	7,332,046	85	1,059,541	16.89%	787,241
WOOD GREEN ACADEMY	7,052,483	0	0	0	153,223	0	-28,872	0	0	0	7,176,834	1,285	7,601,532	0	8,212	0	147,496	0	-28,872	0	0	0	0	7,728,368	1,291	0	7,728,368	7,728,368	6	551,534	7.68%	631,442
HEALTH FUTURES UTC	711,022	0	0	0	0	0	0	0	0	0	711,022	89	675,680	0	0	0	0	0	0	0	0	0	0	675,680	85	0	675,680	675,680	-4	-35,342	0.00%	58,336
SECONDARY TOTAL	118,785,252	0	0	1,985,717	800,994	-214,172	-71,400	-85,324	-492,128	120,708,938	21,088	133,233,620	0	98,722	0	0	2,448,581	790,696	-214,172	-65,500	-80,416	-504,432	135,707,099	21,830	1,603,770	137,310,869	137,310,869	742	14,998,161		12,439,126	
SCHOOLS BLOCK TOTAL	270,989,342	8,005,969	772,472	6,378,842	2,690,484	-851,558	-586,100	-459,000	-1,282,787	285,657,664	54,934	295,419,736	6,822,088	1,034,374	0	0	7,229,070	2,778,280	-851,558	-586,200	-472,000	-1,326,717	310,047,074	55,691	11,290,710	321,337,784	321,337,784	757	24,389,410		29,632,622	

TABLE B: 2021-22 SCHOOL BUDGETS - FACTORS

SCHOOLS	AWPU (Primary/KS3)	AWPU (KS4)	TOTAL BASIC ENTITLEMENT	DEPRIVATION							TOTAL IDACI	LOW ATTAINMENT	EAL	TOTAL AEN	LUMP SUM	SPLIT SITE	RATES	Exceptional Circumstance 1 - Additional Lump Sum	PFI	TOTAL SCHOOL SPECIFIC	TOTAL 2021-22
				BAND F	BAND E	BAND D	BAND C	BAND B	BAND A												
WARLEY INFANTS	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WEDNESBURY OAK ACADEMY	1,433,182		1,433,182	0	1,930	7,293	95,557	44,054	24,630	173,464	183,211	9,919	366,594	129,057	0	6,710	0	0	0	135,767	1,935,543
WHITECREST JR & INF	737,667		737,667	0	154	1,455	0	602	0	2,211	57,809	8,883	68,903	129,057	0	17,244	0	0	0	146,301	952,871
WOOD GREEN JUNIOR	1,155,678		1,155,678	0	13,937	10,185	14,326	16,254	630	55,332	116,927	846	173,105	129,057	0	23,457	0	0	0	152,514	1,481,297
YEW TREE JR & INF	2,051,417		2,051,417	0	3,934	48,583	155,097	20,503	4,418	232,534	257,074	21,313	510,921	129,057	0	42,395	0	0	0	171,452	2,733,789
PRIMARY TOTAL	118,943,535	0	118,943,535	0	628,569	2,330,288	3,445,362	2,452,441	721,494	9,578,153	14,798,958	3,489,182	27,866,292	12,131,358	129,057	2,203,249	90,340	822,285	15,376,289	162,186,116	
Q3 TIPTON ACADEMY (ACE ACADEMY)	4,150,966	2,558,269	6,709,234	0	98,700	141,284	303,774	123,120	124,200	791,078	682,131	46,626	1,519,835	129,057	0	35,709	0	0	0	164,766	8,393,836
BRISTNALL HALL ACADEMY	2,946,489	1,866,441	4,812,930	0	105,459	138,047	134,293	58,200	18,019	454,018	542,677	28,466	1,025,161	129,057	0	13,109	0	0	0	142,166	5,980,256
GEORGE SALTER ACADEMY	3,598,499	1,980,916	5,579,415	0	128,915	168,474	138,904	170,297	6,306	612,896	515,997	22,426	1,151,319	129,057	0	32,084	0	0	0	161,141	6,891,875
HOLLY LODGE HIGH	4,145,988	2,732,470	6,878,459	0	161,817	244,213	91,815	82,995	61,244	642,085	731,776	99,387	1,473,248	129,057	0	41,311	0	0	0	170,368	8,522,074
OLDBURY ACADEMY (OCOS)	4,046,445	2,896,717	6,943,162	0	103,398	142,164	250,935	140,421	39,657	676,575	713,469	75,610	1,465,654	129,057	0	38,848	0	0	0	167,905	8,576,721
ORMISTON ACADEMY	3,384,480	2,045,620	5,430,100	0	76,020	17,592	243,088	28,241	2,702	367,643	464,229	6,169	838,041	129,057	0	25,850	0	0	0	154,907	6,423,048
ORMISTON FORGE ACADEMY (HFIELD)	4,663,615	2,508,497	7,172,112	0	176,750	171,704	124,131	221,445	2,700	696,730	683,643	9,830	1,390,203	129,057	0	34,385	0	0	0	163,442	8,725,757
PERRYFIELDS HIGH	3,399,412	2,105,346	5,504,758	0	69,713	79,164	82,572	116,385	34,231	382,064	448,579	56,775	887,419	129,057	0	156,055	0	0	0	285,112	6,677,288
PHOENIX COLLEGIATE HIGH	5,076,720	3,200,325	8,277,045	0	153,042	183,983	121,891	349,050	41,425	849,391	884,255	76,569	1,810,215	129,057	0	60,819	0	0	0	189,876	10,277,136
Q3 ACADEMY (DARTMOUTH)	3,165,485	2,045,620	5,211,104	0	67,264	39,922	118,076	9,414	1,802	236,478	370,816	12,947	620,242	129,057	0	52,467	0	0	0	181,524	6,012,870
Q3 LANGLEY ACADEMY	3,578,590	2,177,100	5,755,690	0	112,491	129,225	101,226	110,458	2,836	456,235	385,438	5,207	846,881	129,057	0	45,080	0	0	0	174,137	6,776,708
RSA ACADEMY (WILLINGSWTH)	3,479,047	2,025,711	5,504,758	0	11,200	42,588	276,018	219,735	119,700	669,241	412,922	7,369	1,089,532	129,057	0	41,945	0	0	0	171,002	6,765,291
SANDWELL ACADEMY	3,026,124	1,970,962	4,997,086	0	66,699	122,723	122,956	87,471	5,416	405,266	313,201	3,685	722,152	129,057	0	50,122	0	0	0	179,179	5,898,417
SHIRELAND COLLEGIATE ACADEMY	3,832,426	2,015,757	5,848,183	0	93,450	191,308	202,773	44,460	100,800	632,791	557,361	32,093	1,222,245	129,057	0	32,723	0	0	0	161,780	7,232,208
ST MICHAELS CE HIGH	3,707,997	2,364,159	6,072,156	0	76,713	32,475	378,100	154,026	4,504	645,817	567,635	31,954	1,245,407	129,057	0	0	0	504,432	633,489	7,951,052	
STUART BATHURST CATHOLIC HIGH SCHOOL	2,125,255	1,453,336	3,578,590	0	61,686	68,371	144,378	91,612	40,556	406,604	304,237	6,135	716,975	129,057	0	28,999	0	0	0	158,056	4,453,621
WEST BROMWICH COLLEGIATE ACADEMY	2,077,971	0	2,077,971	0	34,981	64,143	33,165	43,268	1,139	176,696	108,338	13,052	298,085	129,057	0	-10,335	0	0	0	118,722	2,494,779
WODENBOROUGH ORMISTON ACADEMY	3,449,184	2,000,825	5,450,009	0	75,457	105,746	162,355	206,621	57,758	607,937	635,257	54,941	1,298,135	129,057	0	26,269	0	0	0	155,326	6,903,470
WOOD GREEN ACADEMY	3,877,221	2,548,315	6,425,535	0	180,390	47,357	192,899	55,618	18,915	495,179	507,647	14,724	1,017,550	129,057	0	29,390	0	0	0	158,447	7,601,532
HEALTH FUTURES UTC	0	423,060	423,060	0	4,900	4,056	16,962	12,825	7,200	45,943	46,850	7,362	100,155	129,057	0	23,408	0	0	0	152,465	675,680
SECONDARY TOTAL	67,731,912	40,919,445	108,651,357	0	1,859,045	2,134,537	3,240,310	2,325,664	691,109	10,250,665	9,876,460	611,327	20,738,452	2,581,140	0	758,238	0	504,432	3,843,810	133,233,620	
SCHOOLS TOTAL	186,675,447	40,919,445	227,594,892	0	2,487,613	4,464,824	6,685,672	4,778,105	1,412,603	19,828,818	24,675,417	4,100,509	48,604,745	14,712,498	129,057	2,961,487	90,340	1,326,717	19,220,099	295,419,736	

TABLE C: 2021-22 SCHOOL BUDGETS - FACTORS

SCHOOLS	BASIC ENTITLEMENT					IDACI							LOW ATTAINMENT			EAL			LUMP SUM			SPLIT SITE			RATES			Exceptional Circumstance 1 - Additional Lump Sum			PFI			TOTAL SCHOOL SPECIFIC	TOTAL 2021-22						
	2020/21	AWPU (Primary/KS3)	AWPU (KS4)	2021/22	DIFFERENCE	2020/21	BAND F	BAND E	BAND D	BAND C	BAND B	BAND A	2021/22	DIFFERENCE E	2020/21	2021/22	DIFFERENCE E	2020/21	2021/22	DIFFERENCE E	TOTAL AEN	2020/21	2021/22	DIFFERENCE E	2020/21	2021/22	DIFFERENCE E	2020/21	2021/22	DIFFERENCE E	2020/21	2021/22	DIFFERENCE E			2020/21	2021/22	DIFFERENCE E	2020/21	2021/22	DIFFERENCE E
	ABBEY INFANT	846,076	927,353	927,353	81,277	38,640	6,619	24,250	9,918	1,806	630	40,223	1,583	101,126	90,251	-10,875	43,721	30,630	-13,091	161,104	129,057	129,057	0	0	0	0	0	0	0	19,724	16,103	-3,621	0			0	0	0	0	0	145,160
ABBEY JUNIOR	1,135,523	1,264,572	1,264,572	129,049	42,762	6,622	21,825	16,530	4,214	1,260	50,451	7,689	142,294	143,551	1,258	8,460	5,076	-3,384	199,078	129,057	129,057	0	0	0	0	0	0	0	28,781	21,809	-6,972	0	0	0	0	0	0	150,866	1,614,516		
ALBERT PRITCHARD INF	795,184	881,688	881,688	86,503	66,831	11,011	6,790	12,122	6,020	2,520	38,463	-28,468	83,051	79,460	-3,591	28,678	24,905	-3,773	142,828	129,057	129,057	0	0	0	0	0	0	0	26,861	20,541	-6,321	0	0	0	0	0	0	149,598	1,174,113		
ALL SAINTS JR & INF	1,332,729	1,457,771	1,457,771	125,041	84,224	14,091	30,070	11,571	33,712	3,780	93,224	9,000	179,426	167,017	-12,409	30,609	27,460	-3,150	287,700	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	129,057	1,874,528
ANNE LENNARD INF	658,413	734,154	734,154	75,742	46,927	7,660	25,341	12,180	4,839	633	50,653	3,727	91,654	84,336	-7,318	17,609	11,853	-5,756	146,844	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139,074	1,029,073
BEARWOOD JR & INF	1,323,187	1,436,694	1,436,694	113,507	80,841	4,786	38,409	25,960	11,466	3,158	83,779	3,138	183,060	187,675	4,615	99,715	91,213	-8,502	362,667	129,057	129,057	0	0	0	0	0	0	0	39,802	27,111	-12,691	0	0	0	0	0	0	156,168	1,955,529		
BLACKHEATH JR & INF	1,418,609	1,545,588	1,545,588	126,979	173,363	3,010	3,403	173,408	12,067	631	192,520	19,157	169,211	165,407	-3,803	24,312	21,494	-2,817	379,421	129,057	129,057	0	0	0	0	0	0	0	53,408	52,918	-490	225,327	234,340	9,013	41,616	2,341,324	1,461,315				
BLEAKHOUSE JUNIOR	753,835	1,426,156	1,426,156	672,321	37,637	6,863	43,165	15,979	31,304	630	97,931	60,294	113,877	146,978	33,101	0	29,695	29,695	0	274,604	129,057	129,057	0	0	0	0	0	0	20,027	44,606	24,578	90,340	103,328	107,462	4,133	371,464	2,072,225				
BRANDHALL JR & INF	1,281,837	1,422,644	1,422,644	140,806	127,318	8,008	11,640	22,040	63,210	630	105,528	-21,790	184,565	164,861	-19,704	21,554	23,630	2,076	294,019	129,057	129,057	0	0	0	0	0	0	0	44,737	33,812	-10,926	0	0	0	0	0	0	162,869	1,879,531		
BRICKHOUSE JR & INF	661,593	702,540	702,540	40,947	88,027	1,179	990	43,293	54,057	0	99,518	11,492	94,261	80,706	-13,555	3,954	2,917	-1,037	183,141	129,057	129,057	0	0	0	0	0	0	0	23,170	17,371	-5,799	0	0	0	0	0	0	146,428	1,032,109		
DEVONSHIRE INF ACADEMY	865,161	942,429	942,429	83,268	43,343	9,625	16,975	2,755	13,846	0	43,201	-142	131,774	131,503	-271	138,550	192,888	54,338	397,592	129,057	129,057	0	0	0	0	0	0	0	18,883	27,570	8,687	0	0	0	0	0	0	156,627	1,803,525		
DEVONSHIRE JR ACADEMY	1,178,873	1,278,623	1,278,623	101,750	73,087	11,781	21,825	15,428	21,672	1,890	72,596	-491	242,622	232,612	-10,010	7,614	11,844	4,230	308,052	129,057	129,057	0	0	0	0	0	0	0	5,790	4,161	-1,628	0	0	0	0	0	0	133,218	1,449,239		
EATON VALLEY JR & INF	1,332,729	1,468,309	1,468,309	135,579	95,366	11,013	31,753	9,990	44,264	1,904	98,923	3,557	190,333	193,958	3,625	69,505	62,231	-7,274	355,112	129,057	129,057	0	0	0	0	0	0	0	34,330	50,198	15,867	0	0	0	0	0	0	179,255	2,002,675		
FERNDALE JR & INF	1,825,744	1,984,676	1,984,676	158,932	58,734	14,683	6,851	12,230	12,755	2,543	49,061	-9,673	286,027	264,906	-21,121	34,475	33,459	-1,016	347,427	129,057	129,057	0	0	0	0	0	0	0	62,624	52,360	-10,264	0	0	0	0	0	0	181,417	2,513,519		
GALTON VALLEY PRIMARY	1,601,791	1,601,791	1,601,791	0	179,427	20,618	56,144	6,656	5,454	1,903	90,774	-88,653	221,274	225,318	4,044	107,160	111,338	4,178	427,430	129,057	129,057	0	0	0	0	0	0	0	65,032	64,433	-599	233,308	242,641	9,332	346,131	2,465,352	1,341,415				
GEORGE BETTS PRIMARY ACAD	1,281,837	1,394,542	1,394,542	112,705	126,815	1,874	80,227	8,838	3,621	1,895	102,455	-24,360	265,970	190,587	-175,384	71,879	66,978	-4,901	360,020	129,057	129,057	0	0	0	0	0	0	0	7,087	5,588	-1,499	0	0	0	0	0	0	134,151	1,888,977		
GEORGE JR & INF	1,295,381	1,324,388	1,324,388	29,007	196,175	1,534,288	23,765	52,896	52,774	6,150	196,340	165	213,481	-14,043	64,004	69,825	5,821	38,194	33,919	-4,275	406,224	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	153,529	1,895,982
GRACE MARY JR & INF	674,316	756,231	756,231	80,914	73,436	1,001	1,940	67,222	4,214	6,375	75,007	1,571	93,375	86,304	-7,072	11,825	9,832	-1,994	171,143	129,057	129,057	0	0	0	0	0	0	0	19,875	19,019	-856	0	0	0	0	0	0	148,076	1,074,449		
GREAT BRIDGE JR & INF	1,418,609	1,570,177	1,570,177	151,568	117,209	13,783	13,580	54,549	14,448	5,670	102,030	-15,179	252,613	229,448	-23,166	55,718	54,721	-907	386,239	129,057	129,057	0	0	0	0	0	0	0	48,107	55,139	7,032	228,695	237,843	9,148	422,039	2,378,455	1,587,522				
GROVE VALE JR & INF	1,335,910	1,464,796	1,464,796	128,886	23,830	3,319	972	6,628	3,017	0	13,936	-9,894	159,423	145,744	-13,679	41,339	39,638	-1,701	199,319	129,057	129,057	0	0	0	0	0	0	0	36,475	29,390	-7,085	0	0	0	0	0	0	158,447	1,822,562		
HANBURY PRIMARY	1,332,729	1,468,309	1,468,309	135,579	178,828	15,900	50,561	35,349	12,069	0	113,878	-61,950	219,322	212,350	-6,972	75,674	81,683	6,009	407,912	129,057	129,057	0	0	0	0	0	0	0	49,684	47,597	-2,087	0	0	0	0	0	0	176,654	2,052,874		
HALL GREEN JR & INF	1,323,187	1,464,796	1,464,796	141,609	190,936	3,490	21,495	16,650	132,793	18,402	192,830	11,894	228,441	209,829	-18,612	17,795	15,767	-2,028	418,426	129,057	129,057	0	0	0	0	0	0	0	55,407	54,898	-509	0	0	0	0	0	0	183,955	2,067,177		
HAMSTEAD INF	1,295,381	1,324,388	1,324,388	29,007	196,175	1,534,288	23,765	52,896	52,774	6,150	196,340	165	213,481	-14,043	64,004	69,825	5,821	38,194	33,919	-4,275	406,224	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	153,529	1,895,982
HAMSTEAD JUNIOR	715,666	825,485	825,485	109,818	42,931	8,154	4,892	2,223	9,715	0	24,984	-17,947	88,254	84,256	-3,998	5,922	9,306	3,384	118,546	129,057	129,057	0	0	0	0	0	0	0	17,167	9,510	-7,657	0	0	0	0	0	0	138,567	1,082,597		
HARGATE JR & INF	1,358,175	1,496,410	1,496,410	138,235	100,830	7,623	22,310	69,426	49,364	2,620	151,243	50,413	179,950	182,721	2,771	58,233	54,306	-3,927	388,270	129,057	129,057	0	0	0	0	0	0	0	32,440	35,368	2,928	0	0	0	0	0	0	164,425	2,045,105		
HARVILLS HAWTHORN PR	1,415,428	1,485,872	1,485,872	70,444	117,198	9,856	4,365	15,428	103,544	300	133,823	16,625	51,558	52,249	691	346,885	315,888	3,000	613,399	129,057	129,057	0	0	0	0	0	0	0	41,353	50,108	8,755	0	0	0	0	0	0	179,165	2,011,922		
HATLEY HEATH PRIM	1,323,187	1,362,928	1,362,928	39,741	77,022	9,856	17,063	16,616	22,995	2,533	68,571	-8,451	200,088	190,120	-9,968	62,281	54,708	-7,573	313,399	129,057	129,057	0	0	0	0	0	0	0	28,851	29,130	279	0	0	0	0						

TABLE C: 2021-22 SCHOOL BUDGETS - FACTORS

SCHOOLS	BASIC ENTITLEMENT					IDACI										LOW ATTAINMENT			EAL			LUMP SUM			SPLIT SITE			RATES			Exceptional Circumstance 1 - Additional Lump Sum			PFI			TOTAL SCHOOL SPECIFIC	TOTAL 2021-22					
	2020/21	AWPU (Primary/KS3)	AWPU (KS4)	2021/22	DIFFERENCE	2020/21	BAND F	BAND E	BAND D	BAND C	BAND B	BAND A	2021/22	DIFFERENC E	2020/21	2021/22	DIFFERENC E	2020/21	2021/22	DIFFERENC E	TOTAL AEN	2020/21	2021/22	DIFFERENC E	2020/21	2021/22	DIFFERENC E	2020/21	2021/22	DIFFERENC E	2020/21	2021/22	DIFFERENC E	2020/21	2021/22	DIFFERENC E			TOTAL				
Q3 TIPTON ACADEMY (ACE ACAD)	6,017,515	4,150,986	2,558,269	6,709,234	691,719	745,438		98,700	141,284	303,774	123,120	6,791,078	45,640	647,110	682,131	35,021	38,264	46,626	7,362	1,519,835	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	164,768	8,393,836					
BRISTNALL HALL ACADEMY	4,298,873	2,946,489	1,866,441	4,812,930	514,057	444,095		105,459	138,047	134,293	88,200	18,019	454,018	9,922	537,205	542,677	5,472	27,606	28,466	1,825,161	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142,166	5,980,256					
GEORGE SALTER ACADEMY	4,865,708	3,598,499	1,980,916	5,579,415	713,708	606,550		128,915	168,474	138,904	170,297	6,306	612,896	6,345	450,844	515,997	65,153	7,376	22,426	15,050	1,151,319	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	161,141	6,891,875				
HOLLY LODGE HIGH	6,253,319	4,145,988	2,732,470	6,878,459	625,140	732,935		161,817	244,213	91,815	82,995	61,244	642,085	-90,851	687,848	731,776	43,928	95,775	99,387	3,612	1,473,248	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	170,368	8,522,074			
OLDBURY ACADEMY (OCOS)	6,511,795	4,046,445	2,896,717	6,943,162	431,367	664,482		103,398	142,164	250,935	140,421	39,657	676,575	12,093	696,216	713,469	17,252	45,212	75,610	30,399	1,465,654	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	167,905	8,576,721			
ORMISTON ACADEMY	4,720,598	3,384,480	2,045,620	5,430,100	709,502	365,110		76,020	17,592	243,088	28,241	2,702	367,643	2,533	422,212	464,229	42,017	7,369	6,169	-1,200	838,041	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	154,907	6,423,048			
ORMISTON FORGE ACADEMY (H)	6,307,735	4,663,615	2,508,497	7,172,112	864,377	608,775		176,750	171,704	124,131	221,445	2,700	696,730	87,955	627,406	683,643	56,237	24,575	9,830	-14,746	1,390,203	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	163,442	8,725,757			
PERRYFIELDS HIGH	5,078,837	3,399,412	2,105,346	5,504,758	425,900	387,794		69,713	79,164	82,572	116,385	34,231	382,064	14,270	414,206	448,579	34,373	54,703	58,775	2,072	887,419	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	285,112	6,577,288		
PHOENIX COLLEGIATE HIGH	7,400,592	5,076,720	3,200,325	8,277,045	876,454	785,549		153,042	183,983	121,891	349,050	41,425	849,391	63,842	888,905	884,255	-4,650	82,462	76,569	-5,892	1,810,215	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	189,876	10,277,136			
Q3 ACADEMY (DARTMOUTH)	4,602,696	3,165,485	2,045,620	5,211,104	608,408	208,133		67,264	39,922	118,076	9,414	1,802	236,478	28,345	340,465	370,816	30,352	17,314	12,947	-4,367	620,242	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	181,524	6,012,870			
Q3 Langley Academy	4,570,954	3,578,590	2,177,100	5,755,690	1,184,737	353,222		112,491	129,225	101,226	110,458	2,836	456,235	103,013	387,110	385,438	-1,672	11,532	5,207	-6,325	846,881	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	174,137	6,776,708			
RSA ACADEMY (WILLINGSWTH)	4,670,717	3,479,047	2,025,711	5,504,758	834,041	615,288		11,200	42,588	276,018	219,735	119,700	669,241	53,953	358,484	412,922	54,438	3,681	7,369	3,688	1,089,532	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	171,002	6,765,291			
SANDWELL ACADEMY	4,466,656	3,026,124	1,970,962	4,987,086	530,430	354,614		66,699	122,723	122,566	87,471	5,416	405,266	50,652	284,110	313,201	29,091	3,681	3,685	4	722,152	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	179,179	5,898,417			
SHIRELAND COLLEGIATE ACADE	4,974,540	3,832,426	2,015,757	5,848,183	873,643	658,541		93,450	191,308	202,773	44,460	100,800	632,791	-25,750	590,554	557,361	56,807	41,909	32,093	-9,816	1,222,245	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	161,780	7,232,208			
ST MICHAELS CE HIGH	5,541,374	3,707,997	2,364,159	6,072,156	530,781	569,932		76,713	32,475	378,100	154,026	4,504	645,817	75,885	558,733	567,635	8,903	34,384	31,954	-2,430	1,245,407	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	492,128	6,334,889			
STUART BATHURST CATHOLIC H	3,342,056	2,125,255	1,453,336	3,578,590	236,534	351,280		61,686	68,371	144,378	91,612	40,556	406,604	55,324	316,885	304,237	-12,648	11,043	6,135	-4,908	716,975	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	158,056	4,453,621			
WEST BROMWICH COLLEGIATE	1,190,353	2,077,971	0	2,077,971	887,619	123,233		34,981	64,143	33,165	43,268	1,139	176,696	53,463	114,872	108,338	-6,534	7,362	13,052	5,690	298,085	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	118,722	2,494,779			
WODENBOROUGH ORMISTON	4,580,023	3,449,184	2,000,825	5,450,009	869,986	542,957		75,457	105,746	162,355	206,621	57,758	607,937	64,980	589,328	635,257	45,928	54,365	54,941	577	1,298,135	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	155,326	6,903,470			
WOOD GREEN ACADEMY	5,827,059	3,877,221	2,548,315	6,425,535	598,476	543,246		180,390	47,357	192,899	55,618	18,915	495,179	-48,067	495,364	507,647	12,283	27,078	14,724	-12,354	1,017,550	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	158,447	7,601,532			
HEALTH FUTURES UTC	403,586	0	423,060	423,060	19,474	47,501		4,900	-4,056	16,962	12,825	7,200	46,943	-1,558	36,565	46,850	10,285	3,681	7,362	3,681	100,155	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	152,465	675,680		
SECONDARY TOTAL	95,624,985	67,731,912	40,919,445	108,651,357	13,026,372	9,688,675		1,859,045	2,134,537	3,240,310	2,325,664	691,109	10,250,665	561,990	9,354,424	9,876,460	522,036	600,372	611,327	10,955	20,738,452	2,581,424	2,581,140	0	0	0	0	0	0	0	0	0	0	0	0	0	0	492,128	504,432	12,303	3,843,810	133,233,620	
SCHOOLS TOTAL	203,280,241	186,675,447	40,919,445	227,594,892	24,314,651	19,332,287		2,487,613	4,464,824	6,685,672	4,778,105	1,412,603	19,828,818	496,531	24,985,415	24,675,417	-309,997	4,288,745	4,100,509	-188,236	48,604,745	14,841,555	14,712,498	-129,057	129,057	129,057	0	0	0	0	0	0	0	0	0	0	0	90,340	1,282,787	1,326,717	43,929	19,220,099	295,419,736

TABLE D

ILLUSTRATIVE PUPIL PREMIUM ALLOCATIONS 2021/22

(Based on Oct 2020 Census)

School Name	School Type	Total Pupil Premium allocation
Abbey Infants	Community school	£66,905
Abbey Junior School	Community school	£101,185
Annie Lennard School	Community school	£68,905
Bearwood Primary School	Community school	£152,640
Blackheath Primary	Community school	£202,645
Bleakhouse Primary School	Community school	£115,290
Brandhall Primary School	Community school	£185,610
Burnt Tree Primary School	Community school	£166,710
Cape Primary	Community school	£225,960
Causeway Green Primary	Community school	£159,330
Crocketts Community Primary School	Community school	£136,120
Eaton Valley Primary School	Community school	£118,360
Ferndale Primary School	Community school	£170,710
Galton Valley Primary School	Community school	£139,880
Glebefields Primary School	Community school	£238,065
Grace Mary Primary School	Community school	£96,495
Great Bridge Primary School	Community school	£144,500
Grove Vale Primary	Community school	£64,180
Hall Green Primary School	Community school	£231,925
Hamstead Infant School	Community school	£53,800
Hamstead Junior School	Community school	£91,460
Hanbury Primary School	Community school	£151,915
Hargate Primary School	Community school	£157,675
Hateley Heath Primary School	Community school	£150,950
Highfields Primary School	Community school	£122,360
Joseph Turner Primary School	Community school	£204,440
King George V Primary	Community school	£91,460
Langley Primary School	Community school	£183,540
Lightwoods J&I School	Community school	£47,695
Lodge Primary School	Community school	£136,500
Lyng Primary School	Community school	£187,850
Moat Farm Infant School	Community school	£115,670
Oakham Primary School	Community school	£88,080
Ocker Hill Infant School	Community school	£53,455
Pennyhill Primary School	Community school	£289,175
Perryfields Primary School	Community school	£84,700
Reddal Hill Primary School	Community school	£177,540
Rood End Primary School	Community school	£197,715
Rounds Green Primary School	Community school	£161,400
Ryders Green Primary School	Community school	£139,880
Sacred Heart Primary School	Community school	£181,575
The Priory Primary School	Community school	£223,235
Tipton Green Junior	Community school	£157,020
Tividale Community Primary	Community school	£77,975
Tividale Hall Primary School	Community school	£118,670
Uplands Manor Primary School	Community school	£378,255
Whitecrest J And I	Community school	£31,935
Yew Tree Primary School	Community school	£276,655
Albert Pritchard Infant	Foundation school	£83,390
Brickhouse Primary School	Foundation school	£98,150
Harvills Hawthorn Primary Sch	Foundation school	£143,915
Moat Farm Junior School	Foundation school	£162,745
Moorlands Primary School	Foundation school	£86,080
Old Hill Primary School	Foundation school	£88,080
Old Park Primary School	Foundation school	£122,395
Park Hill Primary School	Foundation school	£66,560
Rowley Hall Primary	Foundation school	£288,760
Springfield Primary School	Foundation school	£182,230
Temple Meadow Primary School	Foundation school	£179,885
Wood Green Junior School	Foundation school	£127,775
All Saints C.E.Primary School	Voluntary aided school	£86,080
Christ Church Cofe Primary School	Voluntary aided school	£121,705
Holy Name	Voluntary aided school	£40,970
St Margaret'S C.E. School	Voluntary aided school	£22,520
St Matthew'S C Of E Primary School	Voluntary aided school	£145,570
St. John Bosco Catholic Primary	Voluntary aided school	£49,765
Holy Trinity C.E.	Voluntary controlled school	£149,915
St James Ce Primary School	Voluntary controlled school	£236,375

TABLE D**ILLUSTRATIVE PUPIL PREMIUM ALLOCATIONS 2021/22**

St Martin`S C.E.Primary	Voluntary controlled school	£43,040
St. Mary Magdalene C Of E Primary	Voluntary controlled school	£43,040
Primary Schools Total		9,686,940
Holly Lodge School	Foundation school	423,680
Perryfields High School	Community school	231,435
Phoenix Collegiate	Foundation school	561,330
St Michael's C.E. High School	Voluntary aided school	387,325
Secondary Schools Total		1,603,770
Maintained Mainstream Schools Total		11,290,710
The Meadows School	Community special school	91,680
The Orchard Special School	Community special school	89,390
The Westminster School	Community special school	91,595
Special Schools Total		272,665
Albright Education Centre	Pupil referral unit	£14,325
Sandwell Community School	Pupil referral unit	£51,570
The Primrose Centre	Pupil referral unit	£17,485
PRU Total		83,380
GRAND TOTAL		11,646,755

TABLE E - EARLY YEARS SCHOOL FUNDING 2021-2022

Basic Hourly Rate	
Maintained	£4.06
Private/Voluntary	£4.06
Childminders	£4.06

Deprivation	
10.00	£0.00
30.00	£0.05
70.00	£0.10
90.00	£0.20
100.00	£0.25

	May-19	Oct-19	Jan-2020 Provisional Census Data as at 14th Feb 2020	Average	Funded Hours per Year	Funding - Basic	£0.30		Pupils in lowest SOA (IMD) %	Funding - Deprivation (IMD)	Total @ 85% 2021 -2022
							Flexibility (Y/N)	Funding - Flexibility			
ABBEY INFANT	1,005.0	510.0	585	700	26,600	£107,996		0	9	£0.00	£91,797
ALBERT PRITCHARD INF	1,080.0	840.0	1,050	990	37,620	£152,737		0	21	£1,881.00	£131,425
ALL SAINTS JR & INF	585.0	555.0	585	575	21,850	£88,711		0	5	£0.00	£75,404
ANNIE LENNARD INF	330.0	360.0	375	355	13,490	£54,769		0	17	£674.50	£47,127
BEARWOOD JR & INF	885.0	660.0	705	750	28,500	£115,710		0	30	£1,425.00	£99,565
BLACKHEATH JR & INF	825.0	750.0	735	770	29,260	£118,796		0	50	£2,926.00	£103,463
BRANDHALL JR & INF	1,032.5	1,112.5	1,240	1,128	42,870	£174,054	Y	£12,861.10	38	£4,287.03	£162,521
GALTON VALLEY PRIMARY	750.0	555.0	750	685	26,030	£105,682		0	95	£6,507.50	£95,361
BRICKHOUSE JR & INF	390.0	270.0	300	320	12,160	£49,370		0	78	£2,432.00	£44,031
BURNT TREE JR & INF	630.0	450.0	555	545	20,710	£84,083		0	20	£1,035.50	£72,350
CAPE JR & INF	1,095.0	840.0	1,065	1,000	38,000	£154,280		0	66	£3,800.00	£134,368
CAUSEWAY GREEN JR & INF	645.0	675.0	735	685	26,030	£105,682		0	49	£2,603.00	£92,042
CHRIST CHURCH JR & INF	1,215.0	1,035.0	1,350	1,200	45,600	£185,136		0	71	£9,120.00	£165,118
CROCKETTS LANE PRIMARY	1,035.0	1,020.0	1,050	1,035	39,330	£159,680		0	63	£3,933.00	£139,071
EATON VALLEY PRIMARY	660.0	435.0	645	580	22,040	£89,482		0	28	£1,102.00	£76,997
DEVONSHIRE INF	1,140.0	1,020.0	1,080	1,080	41,040	£166,622		0	19	£2,052.00	£143,373
FERNDALE JR & INF	840.0	570.0	750	720	27,360	£111,082	Y	£8,208.00	5	£0.00	£101,396
GEORGE BETTS JR & INF	690.0	345.0	555	530	20,140	£81,768		0	48	£2,014.00	£71,215
GLEBEFIELDS JR & INF	840.0	420.0	600	620	23,560	£95,654		0	82	£4,712.00	£85,311
GRACE MARY JR & INF	720.0	390.0	525	545	20,710	£84,083		0	62	£2,071.00	£73,231
GREAT BRIDGE JR & INF	705.0	675.0	735	705	26,790	£108,767		0	22	£1,339.50	£93,591
GUNS VILLAGE PRIMARY	1,005.0	690.0	870	855	32,490	£131,909		0	70	£3,249.00	£114,885
HALL GREEN JR & INF	795.0	720.0	780	765	29,070	£118,024		0	56	£2,907.00	£102,792
HAMSTEAD INF	690.0	525.0	780	665	25,270	£102,596		0	9	£0.00	£87,207
HARGATE JR & INF	1,140.0	855.0	1,035	1,010	38,380	£155,823		0	45	£3,838.00	£135,712
HARVILLS HAWTHORN PR	735.0	630.0	660	675	25,650	£104,139		0	83	£5,130.00	£92,879
HATELEY HEATH PRIM	750.0	375.0	450	525	19,950	£80,997		0	15	£997.50	£69,695
HIGHFIELDS JR & INF	750.0	585.0	615	650	24,700	£100,282		0	36	£2,470.00	£87,339
PENNYHILL PRIMARY	735.0	630.0	630	665	25,270	£102,596		0	45	£2,527.00	£89,355
HOLY NAME RC JR & INF	375.0	330.0	330	345	13,110	£53,227		0	5	£0.00	£45,243
HOLY TRINITY JR & INF	1,110.0	645.0	840	865	32,870	£133,452		0	88	£6,574.00	£119,022
HOLYHEAD JR & INF	420.0	300.0	375	365	13,870	£56,312		0	80	£2,774.00	£50,223
JOSEPH TURNER JR & INF	915.0	540.0	615	690	26,220	£106,453		0	75	£5,244.00	£94,943
JUBILEE PARK JR & INF	360.0	240.0	300	300	11,400	£46,284		0	94	£2,850.00	£41,764
KING GEORGE V PRIMARY	390.0	210.0	270	290	11,020	£44,741		0	64	£1,102.00	£38,967
LANGLEY JR & INF	705.0	375.0	495	525	19,950	£80,997		0	48	£1,995.00	£70,543
SUMMERHILL PRIMARY	915.0	825.0	885	875	33,250	£134,995		0	67	£3,325.00	£117,572
LODGE JR & INF	765.0	645.0	765	725	27,550	£111,853		0	60	£2,755.00	£97,417
LYNG JUNIOR & INF	900.0	660.0	870	810	30,780	£124,967		0	70	£3,078.00	£108,838
MESTY CROFT JR & INF	915.0	600.0	825	780	29,640	£120,338		0	30	£1,482.00	£103,547
MOAT FARM INF	885.0	720.0	855	820	31,160	£126,510		0	33	£3,116.00	£110,182
NEWTOWN JR & INF	345.0	225.0	255	275	10,450	£42,427		0	87	£2,090.00	£37,839
OAKHAM JR & INF	870.0	630.0	795	765	29,070	£118,024		0	29	£1,453.50	£101,556
OCKER HILL INFANTS	1,005.0	765.0	975	915	34,770	£141,166		0	57	£3,477.00	£122,947
OLD HILL JR & INF	555.0	255.0	390	400	15,200	£61,712		0	59	£1,520.00	£53,747
OLD PARK JR & INF	975.0	975.0	975	975	37,050	£150,423		0	38	£3,705.00	£131,009
PARK HILL JR & INF	735.0	375.0	510	540	20,520	£83,311		0	12	£1,026.00	£71,687
VICTORIA PARK PRIMARY	795.0	540.0	570	635	24,130	£97,968		0	86	£4,826.00	£87,375
PRIORY PRIMARY	600.0	600.0	600	600	22,800	£92,568		0	85	£4,560.00	£82,559
REDDAL HILL JR & INF	600.0	465.0	555	540	20,520	£83,311		0	20	£1,026.00	£71,687

ROOD END JR & INF						£0
ROUNDS GREEN JR & INF	630.0	390.0	510	510	19,380	£78,683
ROWLEY HALL JR & INF	855.0	675.0	660	730	27,740	£112,624
RYDERS GREEN JR & INF	780.0	615.0	720	705	26,790	£108,767
SACRED HEART JR & INF	630.0	600.0	630	620	23,560	£95,654
SHIRELAND HALL JR & INF	960.0	840.0	930	910	34,580	£140,395
SPRINGFIELD PRIMARY	780.0	726.0	816	774	29,412	£119,413
ST FRANCIS XAV JR & INF	480.0	360.0	345	395	15,010	£60,941
ST GREGORY'S JR & INF	0.0	0.0	0	0	0	£0
ST JAMES CE PRIMARY	615.0	450.0	660	575	21,850	£88,711
ST JOHN BOSCO JR & INF	630.0	495.0	570	565	21,470	£87,168
ST MARGARETS JR & INF	450.0	345.0	465	420	15,960	£64,798
ST MARTINS JR & INF	600.0	495.0	540	545	20,710	£84,083
ST MARY MAG JR & INF	345.0	285.0	345	325	12,350	£50,141
ST MARY'S JR & INF	435.0	255.0	345	345	13,110	£53,227
ST MATHEWS JR & INF	690.0	435.0	555	560	21,280	£86,397
ST PAULS JR & INF	330.0	315.0	315	320	12,160	£49,370
ST PHILIPS JR & INF	525.0	360.0	420	435	16,530	£67,112
TAMESIDE PRIMARY	870.0	510.0	525	635	24,130	£97,968
TEMPLE MEADOW JR & INF	630.0	435.0	570	545	20,710	£84,083
TIMBERTREE JR & INF	600.0	375.0	510	495	18,810	£76,369
TIVIDALE HALL JR & INF	690.0	585.0	660	645	24,510	£99,511
TIVIDALE COMMUNITY	1,065.0	540.0	690	765	29,070	£118,024
UPLANDS PRIMARY	1,485.0	900.0	1,095	1,160	44,080	£178,965
SILVERTREES ACADEMY	885.0	990.0	938	938	35,625	£144,638
WARLEY INFANTS	465.0	495.0	555	505	19,190	£77,911
WEDNESBURY OAK JR & INF	810.0	660.0	780	750	28,500	£115,710
YEW TREE JR & INF	945.0	810.0	930	895	34,010	£138,081
Total Maintained	57,012.5	43,358.5	50,918.0	50,429.7	1,916,327.3	7,780,289.0

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Y	£6,555.00
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Y	£4,959.00
	0
	0
	0
	0
Y	£13,224.00
Y	£10,687.50
	0
	0
	0
	0
0	£56,494.60

81	£0.00
35	£1,938.00
33	£2,774.00
95	£6,697.50
30	£1,178.00
46	£3,458.00
27	£1,470.60
25	£750.50
0	£0.00
73	£4,370.00
27	£1,073.50
4	£0.00
33	£2,071.00
21	£617.50
29	£655.50
79	£4,256.00
48	£1,216.00
79	£3,306.00
53	£2,413.00
41	£2,071.00
25	£940.50
5	£0.00
14	£1,453.50
60	£4,408.00
40	£3,562.50
18	£959.50
50	£2,850.00
15	£1,700.50
	£189,202.63

£0
£68,528
£98,089
£98,145
£82,307
£122,275
£102,751
£52,437
£0
£84,691
£75,005
£55,078
£73,231
£43,145
£45,800
£77,055
£42,998
£64,070
£85,324
£73,231
£65,713
£84,584
£101,556
£167,107
£135,054
£67,040
£100,776
£118,814
£6,822,088

DSG De-delegated Budgets 2021-22

REF	NAME	LEAD OFFICER	2021-22 proposal	Primary	Amount per Pupil	Secondary	Amount per Pupil
	De-delegated Budgets (Maintained Schools)		Pupil No	26,154		5,371	
			FSM	6,944		1,481	
	Licences/Subscriptions						
1	Health and Safety Licences and Subscriptions	Andrew Timmins	28,000	23,200	0.89	4,800	0.89
2	EVOLVE Annual Licence Fee	Richard Oakes	6,200	5,100	0.19	1,100	0.20
	Total Licences/Subscriptions		34,200	28,300		5,900	
	Staff Costs Supply Cover						
3	Union Facilities Time	Andrew Timmins	202,000	202,000	7.72		-
	School Improvement	Andrew Timmins					
4	School Improvement Services		100,000	83,000	3.17	17,000	3.17
	Contingencies						
5	Schools in financial difficulty	Andrew Timmins	250,000	207,400	7.93	42,600	7.93
	TOTAL DE-DELEGATED - MAINTAINED SCHOOLS		586,200	520,700		65,500	

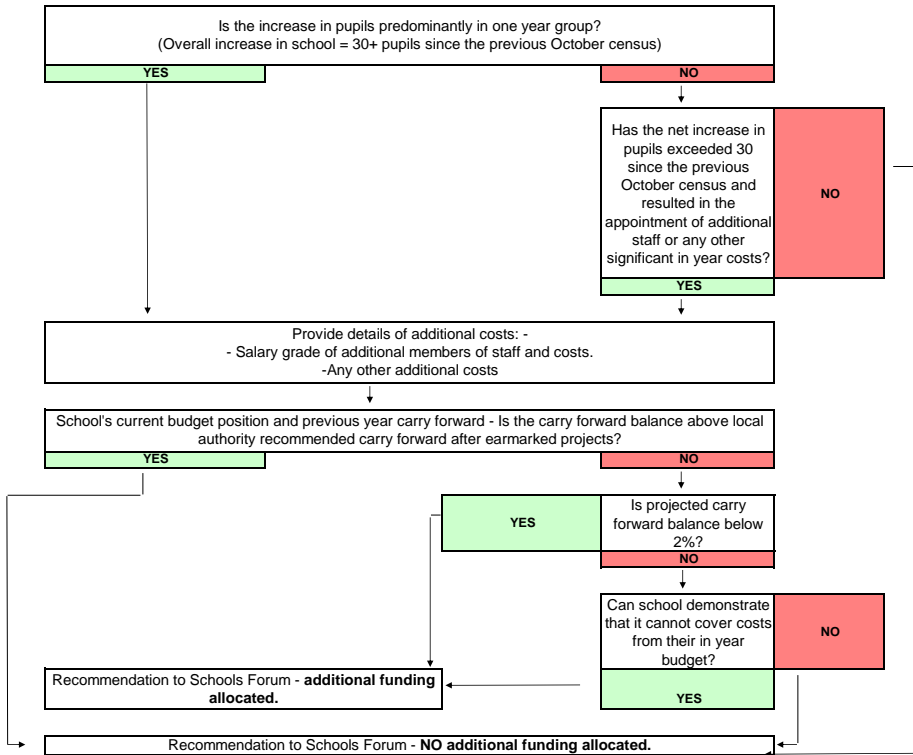
Education Functions 2021-22

REF	NAME	LEAD OFFICER	2021-22	Amount per Pupil
	Education Functions (Maintained Schools)		Pupil No	31,525
1	Education Benefits Team	Sue Moore/Joy Djukic	£175,000	£5.55
2	Children's Clothing Support Allowance	Sue Moore/Joy Djukic	£33,000	£1.05
3	Safeguarding and Attendance	Ramsey Richards	£264,000	£8.37
	TOTAL EDUCATION FUNCTIONS - MAINTAINED SCHOOLS		£472,000	14.97

Central School Services Block 2021-22

REF	NAME	2021-22
	All Schools including academies	
1	Statutory/Regulatory/ Education Welfare/Asset M	£1,441,381
2	Schools Forum	£3,000
3	Admissions Services	£452,600
4	Historical Commitment - Pensions Administration	£182,400
	TOTAL EDUCATION FUNCTIONS - MAINTAINED SCHOOLS	£2,079,381

TABLE G: PUPIL NUMBER GROWTH CONTINGENCY - PROCESS FOR SIGNIFICANT PUPIL NUMBER INCREASES



School Organisation Contingency Funding Requests Criteria

General Guidance

- 1.1 **LA requested PAN/Bulge Class** - Additional funding will automatically be allocated to schools that are increasing their PANs or has a bulge class at the request of the local authority. The amount of funding allocated will be: - The school would receive basic entitlement/AWPU funding until the pupils are counted in the next October census and forms part of the Schools DSG Schools Block funding. This could result in pupils being funded for one academic year, which would cross over two financial years. (Basic per Pupil Entitlement x Additional Pupils x Year 1 7/12ths; Year 2 5/12ths.)
- 1.2 **"Excepted pupils" over PAN** - If a school accepts additional pupils over PAN; the school would receive 50% Basic Entitlement/AWPU until the pupils are counted in the next October census and it forms part of the schools basic funding.
- 1.3 **Mid-Year Admissions** - If a school has a minimum of 30 pupils net increase over the previous October census; the school will receive 50% Basic Entitlement/AWPU until the pupils are counted in the next October census and it forms part of the schools basic funding.
- 1.4 **Application Forms** - The Authority would automatically calculate the funding for all schools meeting the criteria as detailed in 1.1 to 1.3 so there would no longer be a requirement for schools to complete application forms in these instances. It is anticipated that schools meeting the criteria set out in section 1.1 would be paid from September of the relevant financial year. Schools meeting the criteria in section 1.2 and 1.3 would be paid in February.
- 1.5 Any other requests for additional funding must be made in writing to Schools Forum by the Headteacher on behalf of the
- 1.6 The deadline for receiving requests for additional funding where there is an increase in one year group is 30th November of each year. The deadline for receiving requests for additional funding for mid -year admissions is 28th February of each financial year.
- 1.7 The Head's attendance is required at the appropriate Schools Forum meeting in order that clarity can be achieved and any questions answered. (All member interests must be declared).
- 1.8 Head's must then leave the room for Schools Forum members to discuss the requests. The decision will be notified to them by the next working day.
- 1.9 Forum members must consider the requests for additional funding and advise on any funding adjustments using the following criteria as a guide.
- 1.10 Only one allocation per school will be made from this budget each financial year.
- 1.11 Information on additional pupils will be taken from the official October and January census only. If this information has not been submitted by the school then no additional funding will be awarded. Applications requests based on the October census will be taken to School Forum in January and if approved paid in February. Applications requests based on the January census will be taken to Schools Forum in March and if approved commence payments in April.
- 1.12 No additional funding will be available for general "overall" school increases in pupil numbers. An analysis of year group increases will be required and will have to demonstrate the requirement for additional classes/members of staff.
- 1.13 The template below must be completed and submitted in order to request pupil growth funding.

PUPIL GROWTH FUNDING TEMPLATE

SCHOOL

Please detail the change in pupil numbers (since the October census on which your funding was based) across year groups: -

DATE OF PUPIL COUNT

YEAR GROUP	CHANGE IN PUPIL NUMBERS
RECEPTION/YEAR 7	
YEAR 1/YEAR 8	
YEAR 2/YEAR 9	
YEAR 3/YEAR 10	
YEAR 4/YEAR 11	
YEAR 5	
YEAR 6	
TOTAL CHANGE	

Please detail additional costs that need to be incurred as a result of the increase in pupil numbers e.g. new staff or reallocation of staff. Please provide grade and salary costs of any staff.

Please provide details of the last year outturn and the latest 3 year projections for the school.

	Last Outturn (£000)	Current Year (£000)	Year 2 (£000)	Year 3 (£000)
LA Funding/GAG				
Other Income				
TOTAL FUNDING	0	0	0	0
Staffing Costs				
Premises Costs				
Transport Costs				
Admin Supplies				
ICT				
Learning Resources				
TOTAL EXPENDITURE	0	0	0	0
B/fwd Balance			0	0
CUMULATIVE BALANCE	0	0	0	0
Balance as % of Funding				

4 Balances carried forward for the last 3 years

Balance Carried forward			
Balance as % of Funding			

For the current financial year please provide details of items earmarked from your projected balance and the remaining balance following this.

Projected Balance – Current Year	
Earmarked amounts: -	
Remaining Balance	
%	

Following this, if your projected balance is above the local authority recommended limit (8% for Primary and 5% for Secondary) **no funding will be allocated**. If your projected balance is above 2% please explain why these additional costs cannot be covered by your existing budget.

5 Are you requesting funding for additional language and deprivation needs as part of this? Please see Table H for criteria.

Yes		No	
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VERSION DATE: V5: Agreed at School Forum 31st October 2017

TABLE H - ADDITIONAL NEEDS FUNDING CRITERIA

- Funding will only be allocated to schools that have admitted a minimum of 5 pupils with additional needs.
- Funding will only be allocated to schools that it has been agreed meet the criteria for the existing pupil growth
- Schools will need to highlight the need for 'additional needs' funding as part of their request for funding for general
- Information from the latest census will be used to verify whether any of the additional pupils have additional needs.
- If a pupil is logged as having English as an Additional Language the following per pupil allocations will apply (in line

Primary	Secondary
£846	£1,227

- The pupils postcode will be matched to the relevant IDACI band and the following allocations applied if applicable (in line with the current funding formula): -

IDACI Band	Primary	Secondary
IDACI Band E	£77	£676
IDACI Band D	£485	£676
IDACI Band C	£551	£771
IDACI Band B	£602	£855
IDACI Band A	£630	£900

- No further funding allocations will be made once the £1m budget available has been used.
- If you are a school that has been expanded at the request of the local authority and have therefore been automatically allocated pupil growth funding please contact the Schools Strategic Finance Unit to request to be considered for additional needs funding.

Schools Forum

8th March 2021

Early Years Rates 2021/22 Consultation

This report is for decision.

1. Recommendations:

That Schools Forum members:

- 1.1 Approve the increase of the Two Year old hourly rate from £5.30 to £5.38.
- 1.2 Approve the increase of the Three and Four year old hourly rate from £4.00 to £4.06.

2. Purpose

- 2.1 To inform members of the outcome of the consultation on the increase to the hourly rates for two year olds; and three and four old for the financial year 2021/22.

3. Report Details

- 3.1 The Education and Skills Agency (ESFA) issued in December 2020 the “Early years entitlements: local authority funding of providers – Operational guide 2021 to 2022”
- 3.2 The guide is issued to help local authorities follow the rules and principles when funding providers to deliver the early years entitlements in the financial year 2021 to 2022 as well as describing the basis of funding to local authorities.
- 3.3 Local authorities are required to consult providers on annual changes to their local formula.
- 3.4 The early years national funding formula hourly rates have increased by £0.06 per hour for two year olds and £0.08 per hour for three and four year olds.

3.5 On February 1st 2021 the Quality Early Years and Child Care Team sent out the following consultation via email to 102 Day Care Providers and 122 Child Minders as they are providers who deliver the Two Year Old funding and the Nursery Education Funding in Sandwell’s Private Voluntary and Independent child care sector.

The consultation

3.6 “You will be aware that the Government announced an increase in the Early Years funding in its Autumn Spending Review. It is proposed that this increase will take effect from the new financial year 2021/2022. To enable all providers who deliver on the early years entitlements to benefit from the increased funding Sandwell council is proposing to use all of the additional funding to increase the basic hourly rate of pay for Two Year Olds and Three and Four Year Olds and keep the additional payments of the single funding formula at the same rate as 2020/2021.

3.7 The proposed funding structure is therefore;

	Rate 2020/2021	Rate 2021/2022	Increase per hour
Two Year Olds Rate= per hour per child	£5.30	£5.38	£0.08
Three and Four Year Olds Rate= per hour per child	£4.00	£4.06	£0.06
Flexibility per hour per child	£0.30	£0.30	N/A
Deprivation Based on % of children and <u>their</u> postcode	Up to 10% = 0 11% to 30% =£0.05 31% to 70% = £0.10 70% to 90% = £0.20 90% plus = £0.25	Up to 10% = 0 11% to 30% =£0.05 31% to 70% = £0.10 70% to 90% = £0.20 90% plus = £0.25	N/A

3.8 I am therefore writing to you to consult on the following question; Do you agree that Sandwell Council should use the additional Early Years funding to increase the basic rate of funding for Two Year Olds and Three and Four Year Olds?

3.9 The deadline for the consultation was Friday 12th February 2021

3.10 The results of the survey are as follows;

[ILO: UNCLASSIFIED]

3.11 79 providers completed the online survey; 4 providers submitted email responses (that were not also included in the online survey) Total responses = 83.



3.12 This is 37% of providers who were sent the consultation.

32 responses from Child Minders and 51 responses from Day Care Providers

3.13 The response to the central question;

Do you agree that Sandwell Council should use the additional Early Years funding to increase the basic rate of funding for Two Year Olds and Three and Four Year Olds?



3.14 81 respondents agreed and replied 'Yes'. 2 respondents disagreed and replied 'No'

3.15 The 2 responses of 'No' came from;

- **1 Child Minder** who added "I feel that the 2 year old funding already matches many childminders hourly rate so we do not make a loss. However, I am finding a lot of families use the 3 to 4 year old funding ... I find that the rate is much lower than my hourly rate and there for make a financial loss when families become entitled to the funding. Of course, in the times of the pandemic this really doesn't help. Therefore, I feel that the

funding should be used to increase the 3 to 4 year old funding as the rate of the 2 year old funding is satisfactory enough.”

- **1 Day Care provider** who made the following comments; “In regard to the increases ...this is not in line with inflation of the National Minimum Wage. Last year the NMW went up 51 p and we do not know what the increase is to be this year but more than likely it will be the same increase. If you work out the cost of staff in the two years room on a ratio of four children to one staff, then we are obtaining a 32 p increase which does not apportion the NMW increase of 51 p. Even in the three years room, the ratio will allow one staff to have eight children which is 48 p and this is still below the 51p increase. This is on the basis that we are at full occupancy which is usually never the case for us. Granted we have the flexibility to account but there are other overheads that we need to account for. This is the reason why I have disagreed with the rate increase. Please review once again from our perspective.”

3.16 The survey also invited suggestions, comments or any questions providers might have with regards to the increase in Early Years funding. The following responses were from providers who voted ‘yes’ in the consultation, but also commented;

- *“I strongly believe all 2 years old should have free nursery places.”* Day Care Provider.
- *“In view of the national living wage going up and the fact of the age bracket being dropped to 23 years old, the majority of employees will be eligible. It will mean there will be an added additional strain at a time where businesses are already under tight financial constraints. The increase does not come close to the amount of increase that will be added onto staff wages.”* Day Care Provider
- *“Naturally an increased rate will help as wages go up.”* Day Care Provider.
- *“It needs to be more than 6pence per hour”* Day Care Provider

- *“I feel that there should be an increase but 6p is not what I would call an increase. I am already 20p per hour down on my hourly rate.”* Child Minder
- *“Why is the increase such a small amount? The funding per hour is less than most child minders normal hourly rate.”* Child Minder
- *“It shouldn't be stated as 30 hours free childcare/early education as we are not working for free but working for less”* Child Minder
- *“Any way to increase it will be great, thank you.”* Day Care Provider
- *“Funding increase will help us. We provide one to one care, we are flexible. Also we are part of providing educational, fun learning opportunity and quality of care for children as a professional.”* Child Minder
- *“Think funding rates for 3/4 year olds should be the same as 2 year olds”* Child Minder
- *“It should not be advertised as free 30 or 15 hours childcare. We don't work for free and this is less than our hourly fee.”* Child Minder
- *“The hourly rate should be more than the one purposed as the minimum wage is increasing year by year.”* Day Care Provider
- *“The gap between the rate for 2 year old funding and 3-4 year old funding should be reduced. There is a huge difference between the two rates. Regardless of the age of the child they are still taking a place at a childminder/nursery.”* Child Minder
- *“I think that whilst it is nice to have an increase in the funding the amount falls short of any real benefit for nurseries that are struggling to cope.”* Day Care Provider

- *“This increase in early years funding will be really beneficial, particularly at this difficult time” Day Care Provider*
- *“An incentive for offering flexible sessions” Day Care Provider*
- *“I feel that all Sandwell childcare settings for 3 and 4 year olds should have more than an 8p per hour increase. There appears to be an overall feeling of low expectation for children in Sandwell. Our children are wonderful and deserve decent childcare which would give them high expectations and help them achieve their full potential ...Our families deserve more funding in general so that the dedicated professions who work in Early Years would be able to offer so much more that would enable the families to give their children a brighter future. So stop giving Sandwell children such low expectations and remember they are our future”. Day Care Provider*
- *“it’s good that we are finally getting an increase in the hourly rate but the increase should reflect the standards that they expect nursery to keep and staff could be paid a wage they deserve.” Day Care Provider*
- *"I believe the amount that we receive should be increased by a far greater amount than a few pence per child, per hour. All of our outgoings for the Nursery ie rent, rates, utility bills, PPE, cleaning etc plus staffing costs, especially due to Covid 'bubbles', have increased dramatically during the year 2020/21. Also, staff wages and all other bills are due to increase again in the not too distant future, and this small amount will not cover anything at all towards this. We have not been able to increase fees payable by parents for childcare, and cannot see us being able to do this, this year either, due to parents/carers being furloughed, made redundant, shorter working hours etc etc."* Day Care Provider

4. Recommendations

That Schools Forum

ILO - UNCLASSIFIED

- 4.1 Approve the increase of the Two Year olds hourly rate from £5.30 to £5.38.
- 4.2 Approve the increase of the Three and Four year olds hourly rate from £4.00 to £4.06

Sara Baber, Early Years Manager and Rosemarie Kerr; Principal Accountant – Childrens Services.

Date: 02/03/2021

Contact Officer: Sara Baber

Email:

Agenda Item 6

Schools Forum

8th March 2021

Schools Forum Sub-Committee: Schools in Financial Difficulties

This report is for decision

1. Recommendations:

That Schools Forum members:

- 1.1 Approve the recommendations of the Schools Forum Sub-Committee meeting held on the 12 February 2021. Those recommendations being that deficits incurred by Stuart Bathurst Secondary school and Newtown Primary school, at the point of academisation, are met by the Schools in Financial Difficulties Fund.

2. Purpose

- 2.1 To consider the recommendations made by the Schools Forum Sub-Committee meeting held the 12 February 2021.

3. Report Details

- 3.1 The Schools Forum Sub-Committee: Schools in Financial Difficulties met on 12 February 2021. The attendees were:

Members	
Paul Shone	Headteacher of Holly Lodge High School
Mark Arnall,	Headteacher of Q3 Academy
Sally Baker,	Headteacher of Cape Hill Primary School
Officers in attendance	

Chris Ward	Director of Education and Schools
Andrew Timmins	Principal Advisor
Steve Lilley	Finance Business Partner
Rose Kerr	Principal Accountant

3.2 The Sub Committee considered applications to fund deficits for 2 schools that had been subject to academisation:

3.3

School	Date of Conversion	Nett Deficit (£)
Stuart Bathurst Secondary	01/04/2020	118,887
Newtown Primary	01/04/2020	86,813

3.4 The Sub Committee received background information and details of the financial position of both schools, at the point of conversion. The recommendation of the Sub-committee is that Schools Forum approves the allocation of Schools In Financial Difficulties fund to meet the deficit positions left behind by the schools.

Steve Lilley, Finance Business Partner

Date: 02/03/2021

Contact Officer: Steve Lilley

Tel No: 0121 569 3653

Agenda Item 7

Schools Forum

8 March 2021

SPECIAL EDUCATIONAL NEEDS HIGH NEEDS BLOCK 2020/21
JANUARY 2021 MONITORING REPORT

This report is for Information

1. Recommendations:

That Schools Forum members:

- 1.1 Note the contents of the report in relation to the 2020/21 HNB Grant budget monitoring for the period 1 April 2020 – 31 January 2021.

2. Purpose

- 2.1 To provide Schools Forum with the HNB monitoring position as at 31 January 2021 projected to 31 March 2021

3. HNB Budget 2020/21

- 3.1 The HNB current grant for 2020/21 is £48.583m.
3.2 The report to Schools forum on 18 January 2021 reported an in-year deficit of £0.193m.
3.3 The balance bought forward as at 1 April 2020 is £0.561m deficit.

4. Position as at 31 January 2021

- 4.1 The current position as at 31 January 2021 is an in-year surplus of £0.938m.
4.2 The movement between the monitoring periods is a positive £1.131m

4.3 Table 1 shows the main movements in the monitoring between the two periods.

Table 1 – Movements in Monitoring

	Movements £000
1) Out of Borough Placements – As most invoices have now been received any additional placements will be at a minimum, the adjusted in year allowance for new placements has been removed.	139
2) Schools Delegation - Mainstream, Focus Provisions and Special School top up delegations have been finalised and will not change again this financial year. Therefore, the adjusted in year allowance has been removed.	264
3) Increase in Recoupment -There has been an increase in income from other Local Authorities for pupils placed in Sandwell special schools during the year where the information in respect of recoupment has just been received.	200
4)Developments - The expansions in Focus Provisions that were initially accounted for in 'Developments' have now been included in the FP Delegation and are no longer a pressure on this budget head.	257
5) Grant Adjustments - Additional grant adjustments have been notified to the LA following the finalisation of Census figures and a large amount of cross checking. This will be received in March 2021	245
6) Other - various small cumulative movements which are attributed mainly to staffing	26
TOTAL	1,131

4.4 The movements mean the 2019/20 deficit b/fwd. will be cleared and there will be a small surplus going into 2021/22, final figures pending.

5. Recommendations

5.1 That Schools Forum note the contents of the report.

Date: 1/3/2021

Contact Officer: Chris Ward

Tel No: 0121-569-8338

Agenda Item 8

Schools Forum

8th March 2021

Review Of National Funding Formula for allocations of high needs funding to local authorities 2022-23 - Consultation

This report is for Decision

1. Recommendations:

That Schools Forum members:

- 1.1 Note the contents of the report and make comment as necessary.

2. Purpose

- 2.1 To inform school forum members of a government consultation on the “Review of national funding formula for allocations of high needs funding to local authorities: changes for 2022-23” and make comment as necessary.

3. Report Details

- 3.1 On the 10th February 2020, the Department for Education (DfE) issued a consultation on “Review of national funding formula for allocations of high needs funding to local authorities: changes for 2022-23”. The deadline for responses is 24th March 2020.
- 3.2 The consultation is inviting local authorities in England; schools and colleges and any other interested individuals and organisations to comment on some proposed changes to the national funding formula that will be used to allocate high needs funding to local authorities in the 2022-23 financial year. The DfE are also seeking views on some of the longer-term changes to the formula that could be considered in the future.
- 3.3 The authority welcomes feedback on all question to assist in providing a response for the Sandwell area and encourages all schools and establishments to submit a response directly to the DfE.

3.4 The consultation is included in Appendix 1.

4. Recommendations

That Schools Forum

4.1 Note the contents of the report and feedback their views on the consultation.

Rosemarie Kerr, Principal Accountant – Schools

Date: 02/03/2021

Contact Officer: Rosemarie Kerr

Tel No: 0121 569 8318



Department
for Education

Review of national funding formula for allocations of high needs funding to local authorities: changes for 2022-23

Consultation

Launch date: 10th February 2021

Respond by: 24th March 2021

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1. Introduction

- 1.1. We are inviting local authorities in England, schools and colleges, other interested organisations and individuals to respond to specific proposals for a small number of changes to the national funding formula that we will use to allocate high needs funding to local authorities in the 2022-23 financial year. We are also seeking views on some of the longer term changes to the formula that could be considered in future.
- 1.2. High needs funding allocations to local authorities are one aspect of the distribution of funding to schools, colleges and other organisations that make provision for children and young people with special educational needs (SEN), those who are disabled, and those who require alternative provision (AP) because their needs cannot be met in the school they would normally attend.
- 1.3. We are allocating significant increases in high needs funding – an additional £780 million in 2020-21, compared to 2019-20 funding levels, and a further £730 million in 2021-22, bringing the total allocated by the end of next year to over £8 billion. We are aware that many local authorities have in the past spent more on high needs than we have allocated, and therefore want to make sure that we are allocating high needs funding as appropriately and fairly as possible. This is the first stage of our planned review of the high needs national funding formula, first introduced for the allocations of funding to local authorities in 2018-19.
- 1.4. We are currently considering wider SEN and disability (SEND) and AP system changes that could be implemented in future years. The aim of the SEND review, 6 years on from the reforms inaugurated by the Children and Families Act 2014, is to make sure the system is consistent, high quality, sustainable, and integrated across education, health and care. Our AP reforms are intended to improve the behaviour, attendance and post-16 outcomes of young people in AP, and reduce the number who need to stay in that provision long term.
- 1.5. These broader reviews of the SEND system and AP arrangements are likely to have implications for the way that we allocate high needs funding. The pandemic has unavoidably delayed completion of this SEND Review, but our ambition is to publish the review's proposals for consultation in the spring of 2021. We are thinking hard about how best to time and structure that consultation so that families and professionals alike can fully participate and make their views known. But in the meantime, we are continuing to work closely with children, young people and experts across education, health and care to develop policy thinking. We then expect there to be a subsequent further consultation on changes to the distribution of high needs funding consequential on the review, which could be implemented

beyond 2022-23. The development of proposals for longer-term changes following the SEND review, however, does not preclude us from making immediate improvements to the high needs funding formula that we use for allocations in 2022-23. Indeed, we think that it is important to give the opportunity now for people to express views on the ways that we propose the formula is improved for 2022-23, especially given the pressures that local authorities are facing.

- 1.6. The questions we would like answers to are set out in a separate online survey. Please respond using this survey if possible, as other forms of response will not be as easy to analyse, although other formats will be available (see section 1.10). Before you respond to the online survey questions, please read the rest of this document. You do not have to answer all the questions, but in any case, it would be very helpful if you would answer the initial questions so we can see whether you are responding on behalf of a particular type of organisation, or from a specific local authority area in England.

Who this is for

- 1.7. This consultation is for:
 - Local authorities
 - Schools and colleges
 - Any other interested organisations and individuals

Issue date

- 1.8. 10th February 2021

Enquiries

- 1.9. If your enquiry is related to the policy content of the consultation you can contact the team via email:

HighNeedsNFF.CONULTATION@education.gov.uk

If your enquiry is related to the DfE e-consultation website or the process in general, you can contact the DfE Ministerial and Public Communications Division by email:

Consultations.Coordinator@education.gov.uk or by telephone: 0370 000 2288 or via the [DfE Contact us page](#).

Additional copies

- 1.10. Additional copies are available electronically and can be downloaded from: [GOV.UK DfE consultations](#). Word or pdf versions of questions can exceptionally be made available on contact with HighNeedsNFF.CONULTATION@education.gov.uk.

The response

- 1.11 The results of the consultation and the Department's response will be [published on GOV.UK](#) following analysis of the responses later in 2021.

2. About this consultation

- 2.1. This consultation is seeking views on possible changes to two specific factors in the high needs national funding formula, which is the formula the department uses to allocate funding to local authorities for children and young people with complex needs.
- 2.2. This national funding formula was first introduced, following extensive consultation, for the calculation of high needs funding allocations to local authorities in 2018-19. Before 2018-19, allocations had been based on each local authority's past spending, and the formula marked a significant and widely welcomed shift towards a fairer distribution of funding to local areas, based on the needs in those areas. Aware that the formula would need to adapt to changing circumstances, we undertook to review it to see if changes were needed after the first four years of its operation.
- 2.3. This first stage of consultation is to consider specific questions about improvements to the formula funding distribution that could be implemented for 2022-23, but which would not pre-empt wider and longer-term changes resulting from the current SEND review or AP reforms. We are also asking a couple of more general questions, the responses to which we hope will help us in taking forward any longer-term changes to the funding arrangements.
- 2.4. Following the 2019 call for evidence on the funding of provision for children and young people with SEND and those requiring AP, and subsequent representations we have received, we are clear that there are a number of other issues relating to the current funding arrangements, but which are not specifically about the national funding formula. For example, there are continuing questions about the expectation that mainstream schools meet the costs up to £6,000 of supporting a pupil with SEND from their core budget, the level of the £10,000 per place funding for special schools and the funding arrangements for young people with SEND in further education. Such issues will be addressed as part of the SEND review and in subsequent consultations.
- 2.5. In this consultation we are asking for views specifically about the way that high needs funding is allocated through the national funding formula, rather than about the overall level of funding. We have already announced that schools and high needs funding will amount to £7.1 billion in 2022-23, compared to 2019-20, and will be looking carefully at how much high needs funding is required nationally in subsequent years as part of the next government spending review.
- 2.6. Annex A sets out further information about the current high needs funding arrangements. Annex B provides further advice on the proposed changes to local authorities' allocations. Annex C sets out the

equalities impact of these proposals.

3. How we use historic levels of local authority expenditure in the funding formula

- 3.1. We know from previous research, carried out prior to the introduction of the current national funding formula, and from more recent data analysis, that the demand for SEND and AP provision varies considerably between areas because of local factors that are outside the direct control of local authorities. Similarly, the supply and pattern of specialist provision in each area varies considerably for a number of reasons, including decisions taken in the past, local authority boundaries and a range of other local factors. Local authorities can influence the demand for and supply of specialist provision, but some changes – such as the building of a new special school – often take several years to implement. The historic spend factor in the national funding formula is the main proxy we currently use for these local demand and supply constraints that can significantly affect local authorities' levels of spending on high needs.
- 3.2. The changes to this factor that we are considering, therefore, are intended to make sure that the funding formula better reflects such local factors that drive the costs of provision locally, and which take time to change.

Proposal to use actual expenditure from 2017-18

- 3.3. In the 2018-19 formula, and the formulae for subsequent years, we have calculated this lump sum element based on 50% of each local authority's planned expenditure on high needs in 2017-18, as reported by the authority for the purpose of establishing a baseline. Now that we have authorities' actual expenditure on high needs for that year, we can see how that has varied from the planning amounts originally submitted to the department. Annex B sets out that variation for each authority.
- 3.4. Actual expenditure on high needs in 2017-18 will be a better representation of past spending levels than the planned spending amounts we used in the initial years of the formula. We do not intend to update this factor on a regular basis using more recent data, as to do so would introduce an incentive on local authorities to spend more in order to attract more funding in future. We therefore propose to replace the current lump sum included in the formula calculation with an amount calculated on the basis of actual expenditure in 2017-18 reported by each local authority. If you wish to respond on this proposal, please answer question 1 on the online survey.

Increasing the proportion of actual expenditure from 2017-18

- 3.5. With the significant increases in high needs funding through the formula since 2018-19, the overall proportion of funding allocated through this factor has reduced considerably, down by 10 percentage points from 44% of funding in 2018-19 to 34% in the 2021-22 formula. Although some local authorities will have been able to make changes that have helped them spend within their high needs funding allocations, for others speed at which this funding has reduced, as a proportion of total high needs funding, will have been greater than the speed at which they have been able to make changes to local patterns of provision, so we are considering whether it would be appropriate to increase the proportion of funding through this factor.
- 3.6. One way of doing that would be to use more recent outturn data, but because we do not intend to use data from more recent years, as explained above, the alternative would be to increase the percentage of the 2017-18 actual expenditure amounts, from 50% to, say, 60%. This would increase the significance of this factor in the 2022-23 formula, reflecting a more gradual pace of change in the pattern of spending that it would be reasonable to expect from local authorities. As an illustration, if the percentage of actual expenditure had been set at 60% of 2017-18 spending levels, the historic spend factor would have made up 40% of the overall 2021-22 formula: a more modest four percentage point reduction since 2018-19.
- 3.7. We would therefore be grateful for views on the option of increasing the percentage of actual expenditure in 2017-18 included in the funding formula calculation. If you wish to respond on this, please answer question 2 on the online survey.

Finding an alternative to the historic spend factor

- 3.8. We are aware that using a past level of spend as a factor in the funding formula is not the perfect long term solution to how we reflect local issues in the funding arrangements. While historic spending reflects local circumstances that should be acknowledged in the funding distribution, it can also reflect aspects of the local system – such as where there is poor value for money – that should not be reinforced through funding allocations. Past levels of spending also reflect the situation in a local area as it was, and, over time, will cease to reflect current patterns of need or demand. Ideally, therefore, we would prefer to replace the historic spend factor with an alternative factor or factors, that better reflect these local issues, and are able to be kept up to date.
- 3.9. Research that was carried out prior to the introduction of the national

funding formula considered the reasons for the differences between spending patterns in local authorities. The research was conducted by the Isos Partnership¹ and reported that in any single area the factors which shaped spending on children and young people with SEND were both complex and multiple. At a higher level, however, they identified three main drivers at play, in addition to the local demographic context that determined underlying needs.

- 3.10. First, parental preference was considered a critical driver of the nature and quantity of different types of provision available in a local area, which shaped how and where money was spent. It was also noted that parental preference is influenced strongly by the quality of relationships and dialogue between parents, providers and authorities.
- 3.11. Second, in their research they found that the capacity and ability of all types of provider in a local area to provide high-quality education for children and young people with SEND, and the readiness of those providers to work together in support of a common endeavour to improve outcomes for all children and young people with SEND, had a significant bearing on how funding was distributed.
- 3.12. Finally, they concluded that the strategic decisions that local authorities make about how they will meet the needs of children and young people with SEND, the pattern of provision that they have, or will, put in place and the centrally commissioned support on offer, will affect how and how much money is spent.
- 3.13. We are considering how far we should reflect this local variation in provision and the consequent funding distribution, and the factors we would use. It is important that any factor we use instead of historic spending does not create perverse incentives: for example, to create more placements in special schools in order to gain more funding, when some of those pupils would make better progress if they were well supported in a mainstream school. Any factor would also need to be “fit for purpose” for use in a funding context: for example, that the data used are collected uniformly across the country, with robust assurance processes in place; and that the data set is relatively stable from year-to-year, so as not to subject local authorities to significant swings in their funding.
- 3.14. The earliest any alternative factors we might use would be introduced into the formula for allocations is 2023-24, following the SEND review and subject to later consultation. Nevertheless, we would be grateful for initial views on both the extent to which the funding formula should

¹See the link to the report written by Isos Partnership: [Research on funding for young people with special educational needs \(publishing.service.gov.uk\)](https://publishing.service.gov.uk)

reflect the local demand for and pattern of SEND and AP provision, and the factors we might use. If you wish to respond on this, please answer question 3 on the online survey.

4. Attainment data used in the funding formula

- 4.1. We use low attainment at the end of key stages 2 and 4 as two of the group of proxy indicators of SEND in the national funding formula, because there is a strong association between low attainment and some types of SEND. The formula calculation uses attainment data for pupils living in each local authority area, from the most recent 5 years of key stage 2 tests and GCSE exams (e.g. 2015 to 2019 test and exam results have been used in the formula we published in July 2020 for the 2021-22 allocations). For the 2022-23 funding formula we will not have 2020 key stage 2 test data, or GCSE exam results that would be appropriate to use for this purpose, because of the disruption caused by the pandemic.
- 4.2. We have considered using the same data from 2015 to 2019 as used in the 2021-22 formula, but this series would continue to include older data from before the changes to the tests and exams in 2016. So instead we propose to update the series using 5 years' data from 2016, and to substitute the most recent 2019 data in place of the missing 2020 attainment data.
- 4.3. In view of continuing disruption to the 2021 tests and exams, we are likely to need to take a similar approach in the 2023-24 funding formula, i.e. use the 2019 data in place of both 2020 and 2021 attainment data.
- 4.4. If you wish to give views on how we propose to address the absence of 2020 attainment data, please answer question 4 in the online survey. Section 5 gives further information about our plans for improving this and the other SEND and AP proxies in the formula.

5. Effective proxies for SEND and AP in the formula

- 5.1. Our future development of the funding system must support the outcome of the SEND review, and any changes to the AP arrangements. This is one of the reasons why we are limiting the scope of potential changes to the high needs funding formula for 2022-23, and planning another consultation, likely to be undertaken later in 2021, on further changes to the funding arrangements that will be needed following the SEND review.
- 5.2. As well as the historic spend and low attainment factors referred to in the previous sections, we currently use a measure of the local population of children and young people, two health and disability measures (the number of children in bad health and the number of families in receipt of disability living allowance) and two deprivation indicators (the number of children eligible for free school meals and a local area deprivation measure) – see annex A for more information on how these indicators work together as proxies for SEND.
- 5.3. In responses to previous consultations, it has often been argued that allocations to individual local authorities should be based, at least in part, on the number of children and young people who have education, health and care (EHC) plans. Numbers of EHC plans, however, cannot be used as a robust indicator of underlying need because the way they are used varies considerably across local areas (with no consistent national threshold for requiring an EHC plan), and the number of plans is therefore not necessarily directly associated with the local authority's need to spend. For example, a parent may request an EHC needs assessment because they are worried that without a plan their child will not be admitted to the school that will best meet their needs. Furthermore, there would be a significant risk of introducing a perverse incentive on local authorities to increase the number of EHC plans, despite the bureaucracy involved, beyond those that are really needed to enable children and young people with SEND to receive a good quality education.
- 5.4. The SEND review is considering whether system changes are needed to provide more consistency in EHC needs assessment and planning process, and to improve other aspects of the SEND arrangements. Following the SEND review we will consider whether consequent changes to the proxies we use in the funding formula would be appropriate: it is important that the proxies used represent the factors that will best reflect spending pressures on local authorities' SEND services, following any reshaping of those services in the light of the review outcomes. At the next stage of consultation we will also consider whether there are new factors that could either replace

existing factors, for example those that may have become out of date², or that could be added to the formula to address particular types or prevalence of identified need³. In addition, we will also look at how we fund SEND support in mainstream schools.

- 5.5. We would therefore welcome views on how we could improve the proxy factors within the high needs national funding formula. This will then inform our thinking on potential changes to the high needs national funding formula for 2023-24 onwards. If you wish to offer ideas on factors that could be added to the current formula, or that could replace the current proxies, please answer question 5 in the online survey.

² For example, one of the factors we use is data from the 2011 population census that counts the number of children in bad health in a local authority area. However, a question on this is expected to appear in the 2021 population census.

³ For example, although we do not use 19 to 25 population data because the numbers are distorted by the location of higher education institutions, we will look to see whether modification of the 2 to 18 population data would better reflect the underlying needs amongst 19 to 25 year olds that should be met from high needs funding.

6. Conclusion

- 6.1. This consultation forms the first stage of our review of the high needs national funding formula, and focuses on specific proposals for the allocations to local authorities in the 2022-23 financial year. Future consultations will cover further proposals for changes to the formula and to the arrangements for the funding for SEND and AP. An equalities impact assessment has been carried out for the changes that we have proposed in this consultation; see annex C for further details.
- 6.2. If you have any comments on the equalities impact of these proposals for change, please answer question 6 in the online survey.

Annex A: The current high needs funding system

High needs funding is provided to local authorities through the high needs block of the dedicated schools grant (DSG). Local authorities must spend that funding in line with the associated conditions of grant 2021-22⁴, and School and Early Years Finance (England) Regulations⁵ 2021. High needs funding is also provided directly to some schools and colleges⁶ by the Education and Skills Funding Agency (ESFA).

The high needs funding system supports provision for children and young people with special educational needs and disabilities (SEND) from their early years to age 25, to enable both local authorities and institutions to meet their statutory duties under the Children and Families Act 2014. High needs funding is also intended to support good quality alternative provision (AP) for pupils of compulsory school age who, because they have been excluded or suspended, or because of illness or other reasons, cannot receive their education in mainstream or special schools.

The high needs funding block provides local authorities with resources for place funding and top-up funding for institutions, and funding for high needs services delivered directly by the authority or under a separate funding agreement with the service provider (including funding devolved to schools and colleges for that purpose), as permitted by regulations.

The high needs funding block of the DSG has, since 2018-19, been distributed by means of a national funding formula applied consistently across all local authorities, that calculates each authority's allocation.

The formula attempts to balance the two fundamental drivers determining local authorities' relative need to spend on high needs:

- the nature of the local SEND system. Within the current formula the basic entitlement, historic spend and hospital education factors are elements of the formula that reflect local issues, for example the number of pupils in special schools; and
- the underlying needs of the population being served. The population and other proxy factors in the formula, which relate to the

⁴ <https://www.gov.uk/government/publications/dedicated-schools-grant-dsg-2021-to-2022/dsg-conditions-of-grant-2021-to-2022>

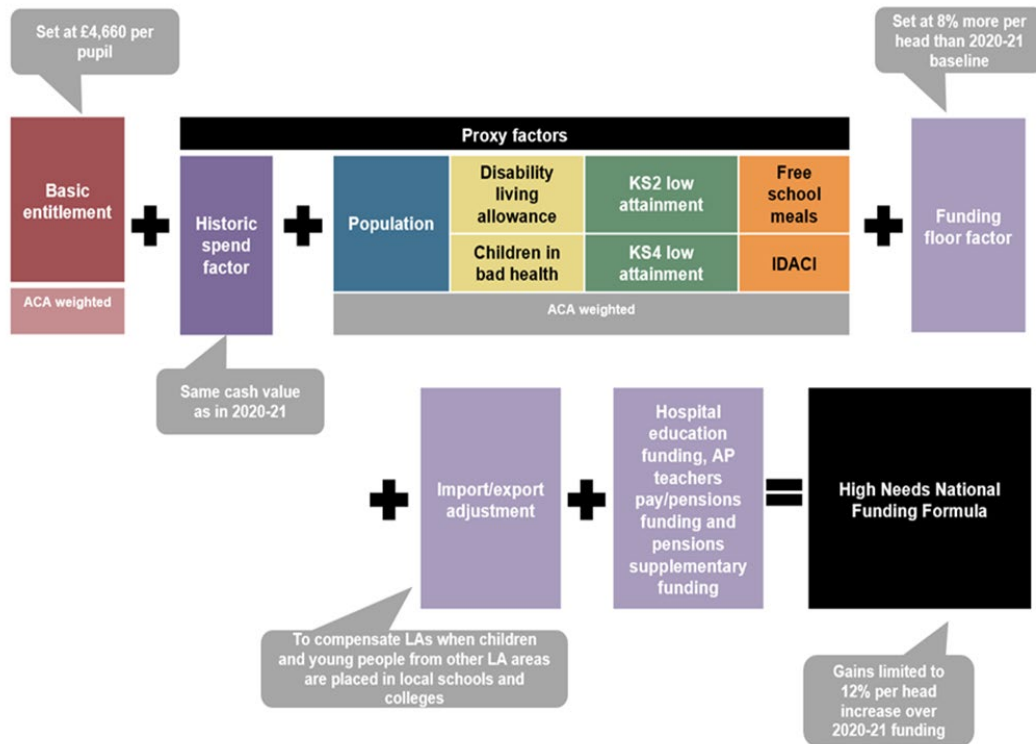
⁵ <https://www.legislation.gov.uk/ukxi/2021/59/made>

⁶ In this consultation we have used the term(s) "schools and colleges" to refer to different types of school, including pupil referral units, academies, free schools, non-maintained special schools and independent schools; and to different types of further education (FE) provider – general FE colleges, independent learning providers and special post-16 institutions (i.e. specialist colleges).

characteristics of the children and young people living in the local authority area, combine together to reflect the level of underlying needs.

Figure 1 below summarises the formula used for the 2021-22 allocations.

Figure 1



For a more detailed account of the operation of the high needs national funding formula in 2021-22 please see the relevant high needs funding formula technical note: [High needs national funding formula: technical note \(publishing.service.gov.uk\)](https://publishing.service.gov.uk)

Annex B: The impact of the proposed historic spend formula factor changes

1. Annex A has a link to the technical note setting out how the historic spend factor is calculated in the 2021-22 formula. Section 3 of this document explains the proposal to change the values used to calculate this factor from those based on 2017-18 planned expenditure, to amounts based on 2017-18 actual expenditure.
2. The actual expenditure data used to calculate these new historic spend factor amounts is from the 2017-18 section 251 returns from local authorities, and from the deductions made from local authorities' 2017-18 dedicated schools grant high needs block allocations for direct funding by the Education and Skills Funding Agency of places in academies and further education⁷. As for the original calculations, we have used the expenditure lines from the local authority level data as shown in table 1⁸. The calculation of the historic spend factor amount includes adjustments that reduce the historic spend by the amount of the basic entitlement factor, reverse the positive or negative import/export adjustments and subtract the hospital education factor amount⁹.

Table 1

Section 251 lines included
1.0.1 Individual Schools Budget (ISB) (after academy recoupment) ¹⁰
1.2.1 Top up funding - maintained schools
1.2.2 Top-up funding – academies, free schools and colleges
1.2.3 Top-up and other funding – non-maintained and independent providers
1.2.4 Additional high needs targeted funding for mainstream schools and academies
1.2.5 SEN support services
1.2.6 Hospital education services
1.2.7 Other alternative provision services
1.2.8 Support for inclusion

⁷ Places in academies and places in further education – data from [DSG allocations: 2017 to 2018 \(www.gov.uk\)](https://www.gov.uk/government/publications/dsg-allocations-2017-to-2018)

⁸ Data from <https://www.gov.uk/guidance/section-251-2017-to-2018>: note, for all lines NET expenditure has been used.

⁹ Number of pupils in special schools/academies, hospital education funding and import/export adjustments – data from <https://www.gov.uk/government/publications/national-funding-formula-tables-for-schools-and-high-needs-2019-to-2020>

¹⁰ Only expenditure for special schools and PRU/AP schools from this line are included.

Section 251 lines included
1.2.9 Special schools and PRUs in financial difficulty
1.2.10 PFI and BSF costs at special schools, AP/ PRUs and Post 16 institutions only
1.2.11 Direct payments (SEN and disability)
1.2.12 Carbon reduction commitment allowances (PRUs)
1.2.13 Therapies and other health related services
1.4.11 SEN transport

3. Table 2 below shows our calculation of the changes to each local authority's historic spend factor amount. Note that expenditure information is not available for local authorities that have been through boundary changes since 2017-18. For these authorities a simple apportionment has been calculated, based on the apportionment of the historic spend amounts previously provided, to give an indication of the impact.
4. Also in table 2, to give an indication of how the change might impact future allocations of high needs funding, we have applied the new values to the 2021-22 national funding formula, and illustrated what the difference would have been to the underlying percentage increase in each local authority's high needs funding allocation in 2021-22 allocation, compared to 2020-21. It is important to note that the impact would not be exactly the same in 2022-23, because of the other data that will be updated in the formula, and because the overall level of increase will not be the same.

Table 2

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Barking and Dagenham	£28,123,481	£29,963,071	£12,482,621	£13,321,426	12.0%	12.0%
Barnet	£48,033,977	£49,696,598	£21,625,987	£22,319,136	8.0%	8.0%
Barnsley	£21,530,000	£25,779,319	£9,790,873	£12,003,032	12.0%	12.0%
Bath and North East Somerset	£22,832,000	£25,153,665	£10,085,212	£11,085,669	8.0%	8.0%

¹¹ The underlying percentage increase in protected high needs funding, per head of population, between 2020-21 and 2021-22 - as shown in the high needs NFF tables published in July 2020 (https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/901852/2021-22_NFF_summary_table.xlsx, high needs tab, column H)

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Bedford Borough	£21,226,000	£21,433,230	£9,199,261	£9,187,433	8.0%	8.0%
Bexley	£32,109,000	£32,420,937	£14,696,026	£14,969,846	8.0%	8.0%
Birmingham	£151,467,000	£156,651,420	£64,002,087	£66,554,193	12.0%	12.0%
Blackburn with Darwen	£18,431,400	£20,450,940	£8,437,360	£9,467,328	11.8%	12.0%
Blackpool	£18,654,000	£19,683,116	£7,681,927	£8,170,825	11.4%	12.0%
Bolton	£33,354,000	£35,826,834	£15,225,444	£16,597,500	10.8%	12.0%
Bournemouth, Christchurch & Poole	£37,175,926	£40,953,138	£17,333,590	£17,333,590	8.0%	8.0%
Bracknell Forest	£15,673,028	£14,378,803	£7,597,056	£6,858,462	8.0%	8.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Bradford	£65,012,779	£59,886,545	£29,371,531	£26,681,153	12.0%	11.9%
Brent	£54,220,000	£51,042,432	£25,953,945	£24,451,239	8.0%	8.0%
Brighton and Hove	£24,850,000	£24,389,722	£11,464,348	£11,250,618	9.5%	8.0%
Bristol, City of	£50,667,390	£54,623,544	£22,148,697	£23,969,597	8.5%	10.8%
Bromley	£47,062,000	£47,433,711	£20,673,297	£20,553,461	8.0%	8.0%
Buckinghamshire	£79,785,000	£78,716,007	£36,813,933	£36,338,943	8.0%	8.0%
Bury	£29,308,032	£33,107,730	£13,645,659	£15,484,374	8.0%	8.0%
Calderdale	£17,665,000	£17,289,930	£8,241,304	£7,998,762	12.0%	12.0%
Cambridgeshire	£65,252,000	£68,003,213	£29,942,834	£31,251,406	8.0%	8.0%
Camden	£34,106,825	£33,553,665	£14,265,132	£13,713,991	8.0%	8.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Central Bedfordshire	£27,406,427	£26,799,073	£11,851,260	£11,532,781	8.5%	8.0%
Cheshire East	£33,924,000	£34,560,731	£16,535,477	£16,610,449	8.0%	8.0%
Cheshire West And Chester	£37,832,252	£37,110,167	£16,317,190	£16,098,213	8.0%	8.0%
Cornwall	£40,068,000	£42,090,683	£18,174,714	£19,091,585	12.0%	12.0%
Coventry	£35,395,000	£34,906,240	£15,160,240	£14,913,197	12.0%	10.6%
Croydon	£58,819,000	£63,375,071	£27,359,993	£29,291,078	8.0%	8.0%
Cumbria	£42,262,637	£40,163,605	£19,720,095	£18,696,566	9.7%	8.0%
Darlington	£12,132,500	£13,901,582	£5,290,397	£6,179,436	10.0%	12.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Derby	£35,175,614	£34,604,099	£15,647,028	£15,216,022	9.8%	8.0%
Derbyshire	£69,402,000	£68,128,711	£33,031,554	£32,566,660	10.1%	8.3%
Devon	£66,640,572	£69,435,158	£30,710,230	£32,150,463	9.8%	10.7%
Doncaster	£28,932,000	£28,418,992	£13,370,279	£12,952,995	12.0%	12.0%
Dorset	£34,997,014	£38,552,839	£15,839,159	£15,839,159	8.0%	8.0%
Dudley	£29,970,000	£30,689,367	£12,381,842	£12,795,964	12.0%	12.0%
Durham	£48,936,000	£52,320,883	£21,460,204	£23,111,816	12.0%	12.0%
Ealing	£52,641,000	£54,584,414	£24,602,556	£25,562,170	8.0%	8.0%
East Riding of Yorkshire	£21,526,496	£21,890,007	£10,156,248	£10,365,504	12.0%	12.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
East Sussex	£50,509,000	£48,813,016	£23,239,408	£22,313,217	9.9%	8.0%
Enfield	£44,604,100	£42,678,463	£21,057,172	£20,035,265	8.0%	8.0%
Essex	£131,999,000	£133,464,541	£60,382,948	£60,711,824	9.1%	8.2%
Gateshead	£21,779,000	£23,423,725	£9,741,377	£10,309,739	10.1%	11.5%
Gloucestershire	£57,213,334	£58,888,867	£25,651,202	£26,413,962	9.3%	9.5%
Greenwich	£46,008,431	£45,126,204	£20,822,255	£20,425,393	8.0%	8.0%
Hackney	£41,304,614	£42,072,650	£19,442,307	£19,672,343	8.0%	8.0%
Halton	£16,559,000	£16,744,464	£7,353,514	£7,415,620	10.4%	9.7%
Hammersmith and Fulham	£20,080,000	£25,328,053	£7,957,023	£10,323,708	10.0%	12.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Hampshire	£107,228,000	£112,142,615	£46,009,539	£48,219,193	11.9%	12.0%
Haringey	£35,854,000	£37,301,947	£16,458,948	£17,671,816	8.0%	9.3%
Harrow	£32,204,396	£33,935,112	£14,670,609	£16,183,194	8.0%	8.0%
Hartlepool	£10,661,230	£11,055,775	£4,691,163	£4,830,435	12.0%	12.0%
Havering	£22,698,263	£23,104,218	£10,603,814	£10,864,176	8.0%	8.0%
Herefordshire	£14,329,000	£15,228,453	£6,405,621	£6,835,457	10.1%	11.7%
Hertfordshire	£104,161,000	£96,035,672	£45,998,113	£41,684,508	9.7%	8.0%
Hillingdon	£35,130,000	£37,901,510	£15,937,975	£16,531,207	8.0%	8.0%
Hounslow	£46,277,000	£43,969,262	£21,161,149	£19,770,158	8.0%	8.0%
Isle of Wight	£14,878,000	£15,125,330	£6,947,456	£7,026,538	8.8%	8.2%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Islington	£27,605,000	£25,704,986	£12,796,427	£11,849,000	8.5%	8.0%
Kensington and Chelsea	£16,005,000	£18,475,045	£6,809,805	£7,826,422	9.2%	12.0%
Kent	£198,170,384	£201,319,968	£87,889,671	£89,111,010	8.0%	8.0%
Kingston upon Hull, City of	£27,369,000	£29,452,088	£12,464,500	£13,392,044	11.4%	12.0%
Kingston upon Thames	£20,455,000	£24,387,628	£8,976,122	£10,170,298	8.0%	8.0%
Kirklees	£34,398,000	£38,359,762	£15,415,901	£17,431,771	12.0%	12.0%
Knowsley	£19,610,000	£20,250,367	£8,859,253	£9,500,775	9.6%	11.3%
Lambeth	£41,803,000	£43,202,050	£19,484,987	£20,045,053	8.0%	8.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Lancashire	£107,475,969	£115,344,201	£48,059,906	£51,674,972	10.8%	12.0%
Leeds	£64,812,672	£62,965,901	£29,213,162	£27,961,776	12.0%	12.0%
Leicester	£48,130,000	£49,712,326	£21,035,562	£21,695,560	9.1%	9.3%
Leicestershire	£66,021,052	£65,600,503	£29,719,333	£29,322,935	8.0%	8.0%
Lewisham	£50,703,795	£49,918,291	£23,746,610	£23,726,543	8.0%	8.0%
Lincolnshire	£81,631,706	£71,806,451	£36,453,765	£31,452,152	8.0%	8.0%
Liverpool	£46,055,754	£45,044,591	£19,616,464	£18,952,957	12.0%	12.0%
Luton	£27,392,047	£25,796,378	£12,903,647	£12,011,509	8.9%	8.0%
Manchester	£70,934,000	£73,933,742	£31,740,266	£32,949,888	10.3%	10.6%
Medway	£37,383,544	£37,937,026	£16,183,808	£16,332,538	8.0%	8.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Merton	£32,356,000	£32,931,007	£15,277,871	£15,727,827	8.0%	8.0%
Middlesbrough	£23,289,000	£25,176,850	£9,124,362	£9,896,952	10.8%	12.0%
Milton Keynes	£39,034,784	£36,313,678	£17,544,196	£16,156,659	8.0%	8.0%
Newcastle upon Tyne	£35,824,000	£34,006,268	£15,267,989	£13,369,798	10.2%	8.0%
Newham	£47,501,000	£45,495,509	£22,742,098	£21,748,357	8.0%	8.0%
Norfolk	£77,048,000	£87,591,102	£34,785,120	£40,128,171	8.9%	12.0%
North East Lincolnshire	£17,110,000	£18,008,607	£7,857,000	£8,273,304	10.9%	11.9%
North Lincolnshire	£15,742,247	£16,651,091	£7,245,123	£7,858,045	10.4%	12.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
North Northamptonshire	£31,726,993	£31,060,819	£13,939,813	£13,566,355	8.4%	8.0%
North Somerset	£23,072,107	£23,628,641	£10,738,608	£11,021,194	8.0%	8.0%
North Tyneside	£20,261,000	£18,699,163	£8,781,008	£8,159,089	11.1%	8.0%
North Yorkshire	£47,902,000	£51,451,351	£22,572,000	£24,505,176	9.6%	12.0%
Northumberland	£32,233,000	£33,395,315	£14,918,567	£15,395,725	11.1%	11.4%
Nottingham	£29,440,298	£34,060,022	£13,233,330	£15,004,616	12.0%	12.0%
Nottinghamshire	£60,464,237	£64,551,368	£28,901,777	£31,044,551	12.0%	12.0%
Oldham	£29,919,445	£31,747,525	£13,051,513	£13,860,004	12.0%	12.0%
Oxfordshire	£58,980,000	£60,766,723	£25,631,572	£26,274,593	10.0%	9.8%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Peterborough	£27,943,570	£28,817,087	£12,304,297	£12,617,135	9.6%	9.5%
Plymouth	£29,624,000	£30,040,088	£12,706,156	£12,964,070	9.5%	9.2%
Portsmouth	£19,459,000	£18,237,402	£8,202,242	£7,563,214	12.0%	12.0%
Reading	£19,261,400	£20,163,658	£9,816,786	£10,315,234	8.0%	8.0%
Redbridge	£41,789,615	£43,176,345	£19,881,199	£20,782,845	8.0%	8.0%
Redcar and Cleveland	£16,108,173	£16,478,324	£7,212,513	£7,373,918	10.8%	10.6%
Richmond upon Thames	£24,910,000	£27,165,046	£11,202,856	£12,371,588	8.0%	8.0%
Rochdale	£21,538,000	£22,663,846	£9,880,294	£10,304,355	12.0%	12.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Rotherham	£28,730,000	£33,249,674	£12,800,816	£14,877,653	11.1%	12.0%
Rutland	£3,882,327	£3,448,267	£1,894,017	£1,693,987	8.0%	8.0%
Salford	£31,575,000	£35,089,051	£14,295,170	£16,014,719	10.4%	12.0%
Sandwell	£38,667,228	£38,192,391	£17,549,646	£17,231,074	10.1%	8.2%
Sefton	£27,556,000	£27,547,001	£12,282,358	£12,180,760	10.5%	9.0%
Sheffield	£52,725,000	£52,767,461	£23,206,115	£23,063,010	12.0%	12.0%
Shropshire	£25,079,000	£25,542,102	£11,933,200	£12,168,226	10.5%	10.2%
Slough	£23,221,000	£25,288,162	£10,435,307	£11,541,586	8.0%	8.0%
Solihull	£26,742,580	£27,512,008	£11,670,480	£12,002,001	8.0%	8.0%
Somerset	£49,758,400	£52,180,559	£22,689,838	£23,992,388	10.0%	11.3%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
South Gloucestershire	£31,202,526	£35,908,047	£14,310,432	£16,723,983	8.0%	8.0%
South Tyneside	£17,097,000	£16,577,345	£7,344,925	£6,935,097	11.3%	8.0%
Southampton	£22,619,942	£25,112,958	£10,168,037	£11,421,113	10.1%	12.0%
Southend-on-Sea	£17,783,562	£18,284,005	£7,495,531	£7,673,752	12.0%	11.6%
Southwark	£42,884,908	£51,618,450	£19,286,806	£23,588,536	8.1%	12.0%
St Helens	£21,669,000	£20,696,332	£9,921,978	£9,154,127	9.2%	8.0%
Staffordshire	£71,442,921	£75,709,634	£30,027,599	£31,824,286	10.6%	11.8%
Stockport	£29,822,000	£29,734,568	£13,727,728	£13,532,039	9.3%	8.0%
Stockton-on-Tees	£25,035,326	£25,312,656	£11,114,311	£11,335,351	10.3%	10.1%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Stoke-on-Trent	£29,493,037	£36,139,084	£13,774,243	£16,951,354	10.9%	12.0%
Suffolk	£57,940,447	£58,766,773	£26,558,785	£26,572,841	10.5%	9.3%
Sunderland	£23,186,530	£22,798,372	£9,905,450	£9,856,871	12.0%	12.0%
Surrey	£142,348,000	£147,055,349	£64,484,117	£66,417,221	8.0%	8.0%
Sutton	£36,954,000	£35,897,090	£16,830,505	£15,836,843	8.0%	8.0%
Swindon	£30,232,000	£30,114,410	£13,470,520	£13,406,324	8.0%	8.0%
Tameside	£19,028,045	£19,298,827	£8,655,813	£8,772,385	12.0%	12.0%
Telford and Wrekin	£20,801,209	£21,197,631	£9,156,063	£9,296,174	9.4%	8.9%
Thurrock	£22,444,000	£24,655,929	£10,295,138	£11,488,693	8.0%	11.2%
Torbay	£17,218,000	£18,899,388	£7,179,459	£7,930,828	9.6%	12.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Tower Hamlets	£46,677,410	£47,094,720	£21,058,113	£20,835,296	8.0%	8.0%
Trafford	£25,038,000	£25,547,970	£11,396,274	£11,676,365	8.0%	8.0%
Wakefield	£28,074,000	£30,298,748	£12,587,527	£13,647,974	12.0%	12.0%
Walsall	£29,893,640	£29,300,096	£13,562,165	£13,103,926	12.0%	12.0%
Waltham Forest	£36,047,353	£37,427,505	£15,661,426	£16,334,578	8.0%	8.0%
Wandsworth	£43,284,977	£40,731,670	£17,844,426	£16,787,764	8.0%	8.0%
Warrington	£20,096,525	£21,524,241	£9,013,192	£9,675,828	9.4%	11.4%
Warwickshire	£59,201,333	£60,491,309	£26,627,879	£27,044,981	8.0%	8.0%
West Berkshire	£20,056,000	£19,611,347	£8,419,066	£8,222,127	8.0%	8.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22 ¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
West Northamptonshire	£37,324,588	£36,540,882	£16,399,215	£15,959,868	9.9%	8.7%
West Sussex	£77,406,000	£75,663,821	£34,641,903	£33,583,219	9.6%	8.0%
Westminster	£24,756,000	£23,943,920	£11,439,465	£10,941,779	8.0%	8.0%
Wigan	£27,444,000	£27,865,018	£12,527,873	£12,658,559	12.0%	12.0%
Wiltshire	£47,147,500	£44,709,479	£21,322,449	£20,105,850	9.4%	8.0%
Windsor and Maidenhead	£18,718,500	£19,336,963	£8,239,495	£8,413,116	8.0%	8.0%
Wirral	£35,061,200	£34,136,451	£14,518,418	£13,924,046	12.0%	9.2%
Wokingham	£18,049,608	£19,306,041	£8,420,712	£9,054,679	8.0%	8.0%

LA Name	Current 2017-18 baseline used for historic spend factor	2017-18 actual expenditure amount we propose to use in future	Original funding through the historic spend factor	Proposed level of funding through the historic spend factor	Actual % increase in high needs allocations between 20-21 and 21-22¹¹	Theoretical % increase in high needs funding allocations between 20-21 and 21-22 (using the proposed historic spend factor)
Wolverhampton	£33,071,000	£31,224,735	£14,420,809	£13,344,136	9.5%	8.0%
Worcestershire	£48,080,000	£50,445,094	£21,006,015	£22,199,767	10.8%	11.8%
York	£18,417,903	£18,711,381	£7,994,161	£8,024,818	8.0%	8.0%

5. The different historic spend amounts, if used in the 2021-22 national funding formula calculations, would have meant that 47% of authorities would have experienced a change in their allocations, with 35 receiving a larger increase and 36 receiving a smaller increase. For 79 authorities, the effect of the 8% funding floor and the 12% limit on gains would have been to override the impact of the change in the historic spend factor value.
6. If a local authority wishes to query the amounts in table 2 above, please send the enquiry to HighNeedsNFF.CONULTATION@education.gov.uk by the end of the consultation period.

Annex C: The high needs NFF consultation equalities impact assessment

The Public Sector Equality Duty

1. The Equality Act 2010 identifies the following as protected characteristics for the public sector equality duty:
 - Age
 - Disability
 - Gender Reassignment
 - Pregnancy and Maternity
 - Race (including ethnicity)
 - Religion or belief
 - Sex
 - Sexual orientation

2. Under Section 149 of the Equality Act 2010, the Secretary of State is under a duty to have due regard to the need to:
 - a. eliminate discrimination, harassment, victimisation and any other conduct that is prohibited by or under the Equality Act 2010;
 - b. advance equality of opportunity between persons who share a relevant protected characteristic and persons who do not share it, in particular the need to:
 - remove or minimise disadvantages suffered by persons who share a relevant protected characteristic that are connected to that characteristic;
 - take steps to meet the needs of persons who share a relevant protected characteristic that are different from the needs of persons who do not share it;
 - encourage persons who share a relevant protected characteristic to participate in public life or in any other activity in which participation by such persons is disproportionately low.
 - c. foster good relations between persons who share a relevant protected characteristic and persons who do not share it, in particular the need to:
 - tackle prejudice, and
 - promote understanding.

What we are proposing in this consultation package

3. This consultation sets out proposed changes to the high needs national funding formula for 2022-23, and seeks views on some aspects of the formula that we are looking to change in future years. The formula calculates funding allocations to local authorities for the education of children and young people with special educational needs and disabilities (SEND) or those who require alternative provision (AP). Local authorities distribute this funding to schools, colleges and other providers.

Consideration of the protected characteristics identified in the Equality Act 2010

4. This is an assessment, pursuant to the public sector equality duty, of the potential impact of these proposals. The Equality Act 2010 identifies eight protected characteristics, as set out in paragraph 1. Our initial assessment is that our funding reform proposals may impact positively on children and young people with a disability by improving the local authority level distribution of resources they can access, and so better matching available resources to need. We have no evidence to suggest there would be a negative impact, either on those with a disability, or on those young people with other protected characteristics. We welcome stakeholder feedback on this topic.

Consultation question

5. We welcome your views on the equalities impact of our proposals for change. If you do have any comments on the impact that these proposals may have on equality, please let us know by answering question 6 within this questionnaire.



Department
for Education

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High needs NFF review consultation questions

Opening questions

- What is your name?
- What is the name of your organisation?
- What type of organisation is this?

Local authority
Mainstream School / academy
Special School / academy
Independent or Non-Maintained Special School
Alternative provision
Fe college
Specialist post-16 institution
Hospital education provider
Multi academy trust
National organisation
Other
Not applicable

- What is your role?
- Which local authority are you based in?
- Do you wish your response to remain confidential?

Historic spend factor - question 1

The historic spend factor in the high needs national funding formula is the main proxy we currently use for local circumstances that can significantly affect local authorities' levels of spending on high needs, and that take time to change. This formula lump sum is calculated using 50% of each local authority's planned expenditure on high needs in 2017-18, reported by local authorities.

We now have access to actual spending data from 2017-18. We therefore propose replacing the current lump sum included in the formula calculation with an amount calculated on the basis of actual expenditure in 2017-18, as reported by each local authority.

Before answering the question below, please read section 3 of the consultation document. Annex B to that document includes further information, and for each local authority the lump sum amount that we propose to use.

Do you agree that we should replace the current lump sum included in the formula calculation with an amount calculated on the basis of actual local authority expenditure, as reported by each local authority?

Agree
Disagree
Unsure

Please provide any additional comments.

Historic spend factor - question 2

The historic spend element of the high needs national funding formula has remained at a cash-flat level since the introduction of the national formula in 2018-19, moving from 44% of the overall formula funding in 2018-19 to 34% in the 2021-22 formula as that total funding has increased. Some local authorities may not have been able to change their spending patterns to keep pace with the percentage reduction in this factor, despite the protection afforded by the funding floor minimum increase of 8% this and next year. We are therefore considering whether to increase the proportion of funding allocated through this factor, alongside using actual expenditure amounts.

Using actual expenditure from a more recent year, and leaving the percentage at 50%, would increase the amount of the lump sum, but we are not proposing to do this as we are clear that local authorities' actual spending now or in future should not determine how much funding they receive. We could, however, increase the significance of this factor in the 2022-23 formula, by increasing the percentage of 2017-18 spending that is applied, allowing for a more gradual rate of change in the local pattern of spending.

Before answering the question below, please read section 3 of the consultation document.

Do you think that we should increase the percentage of actual expenditure in 2017-18 included in the funding formula calculation, or leave it at 50%? Use the comments box to propose a particular increase or reduction in the percentage.

Increase the percentage
Keep the percentage at 50%
Decrease the percentage
Unsure or other

Comments

Historic spend factor - question 3

We are aware that the continued use of historic spend is not the perfect long term solution for reflecting the patterns of local demand and supply that affect spending on high needs, as those patterns will naturally change over time. As part of the funding formula review that we are carrying out, and for consideration as we develop that formula in the years after 2022-23, we are therefore seeking views on potential alternatives to the historic spend factor. Any new factors would need to be appropriate for a funding formula (e.g. the data used should be collected on a consistent basis) and would also need to avoid creating a perverse incentive (e.g. to spend more on a certain type of provision so as to gain more funding, rather than to improve the quality or appropriateness of provision).

Before answering the question below, please refer to section 3 of the consultation document.

To what extent do you agree that the funding formula should include factors that reflect historical local demand for and supply of SEND and AP provision? If you have any suggestions for such factors that could eventually replace the historic spend factor, please provide these in the comments box.

Strongly agree
Agree
Neither agree nor disagree
Disagree
Strongly disagree

Comments

Low attainment factor - question 4

The high needs national funding formula uses low attainment at both key stage 2 and key stage 4 as a proxy indicator for SEND. This figure is calculated using an average of results over the most recent 5 years of tests and exams, which for the 2022-23 formula would have meant using test and exam results from 2016 to 2020. Due to the COVID-19 pandemic, the 2020 key stage 2 tests and GCSE exams were cancelled. This has resulted in no key stage 2 data, and GCSE data that would be inappropriate to use because of the inconsistencies with the results from previous years.

We have considered using the same data as used to calculate last year's attainment formula factors, but this would mean data from more than 5 years ago. Instead, we propose to calculate low attainment by using data from 2016 to 2019, but then to double the weighting of the most recent exam data from 2019. This method could be used for a further year, assuming the 2021 test and exam results are also not able to be used for this purpose.

Please refer to section 4 of the consultation document before answering the following question.

Do you agree with our proposal to update the low attainment factors using data from 2016, and to substitute the most recent 2019 data in place of the missing 2020 attainment data?

Agree
Disagree – calculate in the same way as last year
Disagree – other (please provide further details in the comments)
Unsure

Please provide any additional comments

SEND and AP proxies - question 5

The high needs national funding formula uses six indicators which together act as a proxy for the level of more complex SEND, and need for alternative provision (AP) in an area. These indicators include: a measure of the local population of children and young people, the two low attainment measures (key stage 2 and key stage 4) referred to in question 4, two health and disability measures (the number of children in bad health and the number of families in receipt of disability living allowance), and two deprivation indicators (the number of children eligible for free school meals and a local area deprivation measure).

Numbers of EHC plans are not be used as a robust indicator of underlying need because the way they are used varies considerably across local areas, and the number of plans is therefore not necessarily directly associated with the local authority's need to spend. The ongoing SEND review is considering whether system changes are needed, to provide more consistency in EHC needs assessment and planning process, and to improve other aspects of the SEND arrangements.

Following the SEND review, we will consider whether consequent changes to these proxies that we use in the funding formula, as well as other funding changes, would be appropriate, as it is important that the proxies used support local authorities to deliver the outcomes of the review. At this stage we are keen to understand whether there are new factors either that could replace existing factors that have become out of date or otherwise unreliable, or that could be added to the formula to address types or prevalence of identified need, and we would welcome views.

Please refer to section 5 of the consultation document before giving your comments.

If you wish to offer ideas on factors that could be added to the current formula, or that could replace the current proxies, please provide further details in the comments box below.

Comments

Equalities impact assessment - question 6

Please provide any information that you consider we should take into account in assessing the equalities impact of the proposals for change. Before answering this question, please refer to Annex C of the consultation document.

Please provide your answer in the box below: